

The Not-So-Grim RPPR

Cerise Elliott, PhD Mary Sundsmo, MBA Krista Moulder, PhD

Research Performance Progress Report

- <u>NOT-OD-13-097</u> eRA Commons IDs
- NOT-OD-15-078 Use IMS in Section G.4b
- <u>NOT-OD-15-014</u> Use RPPR Module in eRA Commons
- <u>NOT-OD-15-085</u> New Biosketch Format
- <u>NOT-OD-15-065</u> Updated terms and conditions of NIH awards
- <u>NOT-OD-15-083</u> NIH Genomic Data Sharing
- <u>NOT-OD-15-081</u> New Forms for Indirect Costs

http://grants.nih.gov/grants/rppr/index.htm

Research Performance Progress Report

- Non-SNAP progress reports are due the first of the month preceding the month in with the budget period ends (3/30 = February 1)
- Progress report summaries for each core uploaded to Section B.2 of the RPPR (PDF = 6MB)
- Changes in other support upload active other support for senior/key personnel, indicate the changes (D.2.c)
- Final pilot projects maybe submitting with zero budgets. (you will receive a warning)

Inclusion Management System

- <u>NOT-OD-15-005</u> IMS Required as of 10/17/14
- Report sex/gender, race and ethnicity
- Grantees directly manage their inclusion data throughout the awarded project period
- Item G.4.b to access and update inclusion records directly in IMS
- eRA Commons Status page
- Update Cumulative Inclusion Enrollment data
- Update Planned Enrollment data

http://grants.nih.gov/grants/funding/women_min/women_min.htm

Inclusion Management System

- New forms
- Planned Enrollment Report

http://grants.nih.gov/grants/funding/phs398/PlannedEnrollm entReport.pdf

Cumulative Inclusion Enrollment Report

http://grants.nih.gov/grants/funding/phs398/CumulativeIncl usionEnrollmentReport.pdf

Planned Enrollment Report

This report format should NOT be used for collecting data from study participants.

Study Title:

Domestic/Foreign: Domestic

Comments:

		Ethi	nic Categories	6	
Racial Categories	Not Hispani	c or Latino	Hispanic	or Latino	Total
	Female	Male	Female	Male	
American Indian/ Alaska Native					0
Asian					0
Native Hawaiian or Other Pacific Islander					0
Black or African American					0
White					0
More Than One Race					0
Total	0	0	0	0	0

PHS 398 / PHS 2590 (Rev. 08/12 Approved Through 8/31/2015) Page _ OMB No. 0925-0001/0002 Planned Enrollment Report

Cumulative Inclusion Enrollment Report

This report format should NOT be used for collecting data from study participants.

Study Title:

Comments:

				Eth	nnic Categor	ries				
Racial Categories	Not H	lispanic or La	atino	Hi	spanic or Lati	ino	Unknown	Not Reported	d Ethnicity	Total
	Female	Male	Unknown/ Not Reported	Female	Male	Unknown/ Not Reported	Female	Male	Unknown/ Not Reported	
American Indian/ Alaska Native										0
Asian										0
Native Hawaiian or Other Pacific Islander										0
Black or African American										0
White										0
More Than One Race										0
Unknown or Not Reported										0
Total	0	0	0	0	0	0	0	0	0	0

PHS 398 / PHS 2590 (Rev. 08/12 Approved Through 8/31/2015)

Inclusion Management System

- Clinical Core
 - Targeted/Planned entered (5 year plan)
 - Progress year enrollment entered
 - Year 2-5: cumulative of 5 year progress
- Project
 - Human subjects enrolled
 - Targeted/Planned entered
 - Progress year enrollment entered
 - Year 2-5: cumulative of 5 year enrollment
- Pilot
 - Human subjects enrolled
 - Targeted/Planned entered
 - Progress year enrollment entered
 - Year 2-5: cumulative of 5 year enrollment

Public Access Policy

- Requires scientist to submit final peer-reviewed journal manuscripts that arise from NIH funds to PubMedCentral immediately upon acceptance for publications
- Include PMCID in citations
- Use My NCBI to report papers
- Report papers via the RPPR
- <u>NOT-OD-13-042</u> NIH will delay processing of an award if publications arising from it are not in compliance

http://publicaccess.nih.gov/

Manage Publications

Manage Publications @ eRA Commons <u>http://era.nih.gov/grantees/manage_publications.cfm</u>

My Bibliography @ My NCBI Help <u>http://www.ncbi.nlm.nih.gov/books/NBK53595/</u>

Associate My NCBI to RPPR (page 67/68) <u>http://grants.nih.gov/grants/rppr/rppr_instruction_guide.pdf</u> Activate the pop-up wizard by clicking either a red dot $oldsymbol{9}$ or click the question mark $\widehat{{ extsf{0}}}$ c

Did the NIH support this citation, in whole or in part?	
© Yes ◎ No	
Save & Close	Cancel

The wizard helps users to begin a manuscript submission, link to a previously-submitted n their citation is exempt from the policy.

Did the NIH support this citation, in whole or in part?	
Yes	
© No	
The NIH Public Access Policy requires scientists to submit final, peer-reviewed jo manuscripts that arise from NIH funds to the digital archive PubMed Central upon for publication. (See <u>Determine Applicability</u> for full details.) Please submit the final manuscript sent to your publisher or indicate that this publication is exempt from the termine termine are as a set of the set	acceptance al
We do not have a record of this citation in NIH Manuscript Submission system (NI Please choose from the following:	HMS).
Begin submission in the NIHMS.	
This citation has been submitted. NIHMS ID:	
 Arrangements have been made for a <u>publisher on this list</u> to send the final a directly to PubMed Central. (<u>Method B</u>) 	rticle
This citation does not need to be submitted under NIH Public Access becau	se:
Publication was not peer reviewed.	
Publication was accepted for publication before April 7, 2008.	
Publication was written in a script other than Latin (e.g., Russian, Jap	anese).
Publication was not <u>directly supported by</u> NIH.	
Save & Close	Cancel

Progress Reporting

- One year from last progress report
- Overall most significant scientific discoveries and innovations during the past year, novel techniques, ideas and research findings
- Cores Why does the core matter, how does your work further knowledge about and finding treatments for AD?
- Focus on quality rather than quantity

https://www.alz.washington.edu/NONMEMBER/progrep.html



RPPR for Multi-Component Grants

Mary P. Sundsmo, MBA Administrator



Download this as a pdf or Word doc. It is invaluable and you will refer to it many times.



Electronic Research Administration A program of the National Institutes of Health

NIH and Other PHS Agency Research Performance Progress Report (RPPR) Instruction Guide

NIH National Institutes of Health

Document Version 9.1.0 October 17, 2014 Forms Approved Through 08/31/2015

OMB No. 0925-0002

Getting Started

- Go to the Commons and search for your current ADC award under STATUS.
- Click on the link to RPPR on the right side.
- You will be see the RPPR menu screen, listing the grant number, institution, PI, etc.
- Assuming your Status is 'Not Started', you will be asked to INITIATE the Progress Report using the buttons immediately below.

	Application Information	
Grant Number:	5K23HD123456-03	
Institution:	PRESIDENTIAL UNIVERSITY	
PD/PI Name:	Jefferson, Thomas	
Project Title:	A New Model for the Delivery of Well-Child Care	
Due Date:	05/15/2012	
Current Reviewer:		
Status:	Not Started	

Adding Components

- Somewhat like ASSIST
- You will have to input your Cores, Projects and Pilots (3 sets). Unlike the new version of ASSIST, you cannot move them around or delete them once they are entered. Overall and Admin Core come pre-labeled.
- Choose your component type (Core, Project, Other) from the Dropdown menu
- All components will be assigned a random Component ID (like ASSIST)
- BUT you can give the Core/Project/Pilot a title that describes it (Clinical Core, Outreach, Recruitment and Education Core) – like ASSIST.

	Application Information		
Grant Number:	5P20MD123456-01		
Institution:	UNIVERSITY OF THE US		
PD/PI Name:	WASHINGTON, GEORGE; Jefferson, Thomas (Contact)		
Project Title:	Sample Research Project		
Due Date:	01/01/2014		
Current Reviewer:	Franklin, Benjamin		
Status:	PD/PI Work in Progress		
Does the project have corr	nponents? Yes No		
Does the project have com	nponents? Ves No Project Title	Program Director(PD)/ Principal Investigator(PI) Name	Actions
			Actions Edit Check for
ID	Project Title	Principal Investigator(PI) Name	
ID Overall Component Type	Project Title Sample Research Project	Principal Investigator(PI) Name	

Naming Pilots

- We have 3 kinds of pilots:
 - Final
 - Interim (not yet completed at the time of the Progress Report)
 - Proposed
- We have a numbering convention using the grant year and the number of the pilot in this cycle.
- I suggest using both of these in your naming:
 Pilot Project (Final) Y30.1, Y30.2 etc

Editing the RPPR Components

• Under the Action tab for the OVERALL and all components, select EDIT

RPPR Menu 🔞		
	Application Information	
Grant Number:	5P20MD123456-01	
Institution:	UNIVERSITY OF THE US	
PD/PI Name:	WASHINGTON, GEORGE; Jefferson, Thomas (Contact)	
Project Title: Due Date:	Sample Research Project 01/01/2014	
Current Reviewer:	Franklin, Benjamin	
Status:	PDIPI Work in Progress	
Survey.		
View View Routing		
	Project Title	Program Director(PD)/ Actions Principal Investigator(PI) Name
Overall	Sample Research Project	Washington, George Edit Check for Errors
Component Type	Component Project Title	
Select One •	A	dd Component
One item found.		
🔷 Component ID 🜲	Component Type Component Project Title	
Core-6773 Core	Core for Sample Research Project	Edit Component Check for Errors Delete

Section A. Cover Page

- Update the information as indicated. The OVERALL Cover Page is different than the component cover pages.
- For Components, you will need the eRA Commons ID for all Core/Project and Pilot leaders/PIs. The profile will auto-populate.
- At the bottom of the Cover Page for each component are section navigation links: A.Cover Page,
 B.Accomplishments etc. Use these to move from one section to the next.
- <u>Make SURE</u> to save your work before leaving the page.

PDF attachments vs text boxes

- Text boxes (i.e. B.1 Goals/Specific Aims) all have character limits.
- PDF attachments don't have page limits. They need to be named as follows:

Save all files with descriptive file names of 50 characters or less and be sure to only use standard characters in file names: A through Z, a through z, 0 through 9, and underscore (_). Do not use any special characters (example: &, -, *, %, /, and #) or spacing in the file name, and for word separation use an underscore (e.g., My_Attached_File.pdf).

• Use Arial, Helvetica, Palatino Linotype or Georgia typeface, 11 pt or larger, ≤15 charac/inch and no more than 6 lines/inch.

C. Products (see Part 2)

- The main section, C.1, is for your publications.
- The RPPR is linked directly to your Pl's "My NCBI Bibliography". More on this later. It is fraught with challenges!

D. Participants

- Who worked on the project in the past year?
- All PD/PIs/ Core, Project or Pilot leaders must be reported. p69-70 Guide
- Anyone who has worked at least one person month per year on the project must be reported, even students.
- An eRA Commons ID and a completed profile is required for all of these individuals. You need to verify that the profile is completed.

D. Participa	nts (?												
os & Notes:														
THE FOLLOWING	_													
					the Undergraduate role.							-		
U.S. Citizenship St	tatus, ar	nd Country of Citi	zenship	or indicate that th	Postdoctoral roles on a pr ley do not wish to respon n issuing the degree. Co	d. Individual	Is with a Graduate	Student	role mus	t enter at le				
Save Cance	el													
D.1 What individuals	have w	orked on the pro	oject?											
regardless of the sou personnel informatio Provide the name an	urce of c n is for f d identif	compensation (a the entire project by the role the per	person son play	month equals app red in the project.	ivestigator(s) (PDs/PIs); ; iroximately 160 hours or ; Indicate the nearest who i time. For example, if an ;	8.3% of annu le person me	ualized effort). Spe onth (Calendar, Ac	cify the c	omponer Summer	nt(s) on whi	ch the individual w dividual worked or	orked in the appro	opriate text b	ox. This enior role in
graduate student.				,,		,								
& Instructions														
 A Commons I personnel. Individuals wi 	D is rec th a <u>pos</u>	quired for all indivisit	iduals v <u>e</u> shoulo	vith a postdoctoral d be identified as "	late his or her information role and/or supported by Postdoctoral (scholar, fe itting any specified meas	y a Reentry o Ilow, or othe	r postdoctoral pos		e Comm	ons ID is st	rongly encouraged	l, but currently opt	ional, for all o	other project
Required field Accommons Use			om Profi	le										
*First work?		Middle N	lame		*Last Name		*Senior/Key Per	sonnel?	?	Last 4	digits of Social Se	curity Number	DoB	(MM/YYYY)
							O Yes O No			XXX - X	X -			
Degree(s)	*Proje	ect Role					Supplement Su	innort (S	S (2)			*Person Months	2	
	Plea	se select a role			•		Not Applicable		•	Caler		Academic	Sumn	ner
	Other	(Project Role)												
	o unor	(, , , , , , , , , , , , , , , , , , ,												
Is the individual	's nrim:	ary affiliation wit	th a fore	ion organization?	Ves No									
	-	-			ation but the individual is	working on	this award solely v	vhile in th	ne U.S.					
If yes, provide the n	ame of	the organization	and co	untry										
Organization Name			_	untry lease select a co	ountry -									
Add/New Clea	r													
						List of P	articipants			_				
Commons ID	S/K	Name	SSN	DOB	Degree(s)			Pe Cal	erson Mo Aca	nths Sum	Foreign A Org	Affiliation Country	ss	Action
WRITERJANE	Y	AUSTEN, JANE	1234	02/1959	AB,MD	PD/PI		10	0	0			Not Applicable	<u>Edit</u>
WSHAKESPEARE	Y	Shakespeare, William	4567	08/1962	MD	PD/PI		5	0	0			Not Applicable	<u>Edit</u>

Personal Profile from the Commons



D.2 Personnel Updates

- D.2.b <u>New</u> Sr/Key Personnel?
 - Will need to upload both the Biosketches and Other Support.
 - Combine both into ONE single pdf document.

D.2.a Level of Effort
Will there be, in the next budget period, either (1) a reduction of 25% or more in the level of effort from what was approved by the agency for the PD/PI(s) or other senior/key personnel designated in the Notice of Award, o (2) a reduction in the level of effort below the minimum amount of effort required by the Notice of Award?
○ Yes ○ No
Reductions are cumulative, i.e., the 25% threshold may be reached by two or more successive reductions that total 25% or more. Once agency approval has been given for a significant change in the level of effort, then all subsequent reductions are measured against the approved adjusted level. Selecting "yes" constitutes a prior approval request to the agency and the issuance of a subsequent year of funding constitutes agency approval of the request.
If yes, provide an explanation below (Limit is 700 characters or approximately 1/4 of a page.)
Total remaining allowed limit is 700 characters.
D.2.b New Senior/Key Personnel
Are there, or will there be, new senior/key personnel? 🔿 Yes 🔿 No
Senior/key personnel are those identified by the grantee institution as individuals who contribute in a substantive measurable way to the scientific development or execution of the project, whether or not salaries are requested. Typically these individuals have doctoral or other professional degrees, although individuals at the masters or baccalaureate level may be considered senior/key personnel if their involvement meets this definition. Consultante may be considered senior/key personnel.
If yes, upload biosketches and other support for all new senior/key personnel
Add Attachment Delete Attachment View Attachment

D.2.c Changes in Other Support

- If yes, upload active other support for senior/key personnel whose support has changed and <u>indicate what the change has been</u>. List the award for which the progress report is being submitted and include the effort that will be devoted in the next reporting period. p72-73 Guide
- If a previously active grant has terminated and/or if a previously pending grant is now active, submit complete Other Support information using the suggested format and instructions found at http://grants.nih.gov/grants/funding/2590/Noncompeting_othersupport.docx. Annotate this information using a cover sheet so it is clear what has changed from the previous submission.
- Other support information should be submitted only for the PD/PI and for those individuals considered by the grantee to be key to the project for whom there has been a change in other support.

SUMMARY OF CHANGES TO OTHER SUPPORT

Senior/Key personnel with no changes to their Other Support have not been included.

Galasko, Douglas R.

<u>Added:</u> R34 AGO49646 (Galasko, Douglas) <u>Deleted:</u> 5 P01 2P01AG020206-10 (Koo, Edward) 12-10139 (Galasko, Douglas) IT1-06589 (Galasko, Douglas) 20121106 (Galasko, Douglas)

Aisen, P.S.

<u>Added:</u> U01-AG10483 (Aisen, PI) R01MH098062 (Robert Mackin) W81XWH-13-1-0259 (Weiner, PI) PAR-12-203 (Strittmatter, PI) R01-AG047922-01 (Rafii, PI) H8A-MC-LZAZ/ADC-040-A4 201401 <u>Deleted:</u> 5R01 AG021927

G. Special Reporting Requirements

- G.4.b. Inclusion enrollment data p.79 Guide
 - Update the inclusion enrollment with the total cumulative enrollment data collected to-date. Grantees can access the inclusion enrollment record(s) in Section G.4.b. by clicking the "Inclusion" link. <u>The link will</u> <u>be available when inclusion monitoring is required.</u>

TIPS for G.4.b

- My link for the Inclusion Enrollment Report was not visible. I had to contact eRA Commons HELP desk and then NIA to get it activated.
- Once activated, I saw the link in the STATUS of our award on the Commons. This link took me to OVERALL. I could not put the Inclusion Enrollment Reports in the Components where they logically belonged i.e. Clinical Core/a Project or a Pilot.

Manage Inclusion Data

Manage IDRs External

https://public.era.nih.gov/ims/manageIdrsExternal.era?encryptedPar...

Inclusion- Manage Inclusion Data Records (IDRs) @ Grant #: 5P50AG005131-32 PI Name: GALASKO, DOUGLAS R

Return to RPPR Submit New Planned Inclusion Record

Prospective Studies:

-Each component where you have indicated 'Human Subjects' will be part of a form auto-generated.

-Link to enter new enrollment -Links to edit Planned or Cumulative enrollment.

IDR #	IDR Status	Study Title	Last Updated Date	Action 🚱
1043961	Requires Updates	Clinical Core (Core B) and Hispanic Satellite (Cor <u>More</u>	01/30/2015 11:34:58 AM	<u>View</u> Edit Planned Enrollm Edit Cumulative Enrollment Delete
1043962	Requires Updates	Project 1 - Goldstein: Using Human Induced Pluripo <u>More</u>	01/30/2015 11:34:09 AM	<u>View</u> Edit Planned Enrollm Edit Cumulative Enrollment Delete
1043963	Requires Updates	Pilot (final) 30.1 Schiehser "Sensitivity and Spec <u>More</u>	01/30/2015 11:45:46 AM	<u>View</u> Edit Planned Enrollm Edit Cumulative Enrollment Delete
1043965	Requires Updates	Pilot (Interim) 31.3 Reas: Microstructural BiomarkMore	01/30/2015 11:50:23 AM	<u>View</u> Edit Planned Enrollm Edit Cumulative Enrollment Delete
1043967	Requires Updates	Pilot Proposal 32.2 Edmonds: Defining Subtle Cogni <u>More</u>	01/30/2015 11:52:42 AM	<u>View</u> Edit Planned Enrollm Edit Cumulative Enrollment Delete

More TIPS for G.4.b

- All Inclusion Enrollment Data requires Planned Enrollment Data. So if you have submitted your competing renewal you will have to recreate Planned Enrollment. If you have not yet submitted your competing renewal, I don't know but I assume you will have to recreate it as well.
- Since our competing renewal had 5 yr Planned Enrollment data, I thought perhaps I should divide those numbers by 5 for the Progress Report. NO! This was one case where the data was linked to ASSIST and I had to enter the 5 yr targets.

UCSD Planned/Inclusion Enrollment

Inclusion Enrollmen Inclusion Data Reco Study Title: Clinical	ord (IDR) #		spanic Satellit	e (Core F)	Years 31-3	35				
Comments: This refl	ects the tar	get enrollr		ned Enro				٦		
				<i>.</i>	Ethnic Cal					
Racial Cate	gories		Not Hispa Female	anic or Latino			panic or Lati	no Male	Tota	(
American Indian/A	Vacka Matiun		remaie 0		0	remaie 0		0 Nate	0	
Asian			5	-	5	_		0	10	
				-		0		154	1.5.0	
Native Hawaiian or Oth	er Pacific Islan	der	0	-	0	0		0	0	
Black or African	American		5		5	0		0	10	
White	1		140	13	35	45		55	375	
More than O	ne Race		0		0	0		0	0	
Tota			150	14	45	45	45 55		395	
Comments: This ref	ects enrollr	ment for Ye	Cum ear 31 ONLY.	ulative E	nrollme	nt Report				
Comments: This ref			ear 31 ONLY.	5	unite Galegor	185		UnknowniN		
	Not	Hispanic or I	ear 31 ONLY. Latino Unknown/	e: Hi	unic categor ispanic or Lat	tino Unknown/		eported Ethn	icity Unknown/	Total
Comments: This ref	Not Female	Hispanic or I Male	ear 31 ONLY. Latino	E Hi Female	ispanic or Lat Male	tino Unknown/ Not Reported	Female	eported Ethn Male	icity Unknowní Not Reported	Total
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Comments: This ref Racial Categories American Indian/Alaska Native Asian Native Hassian er	Not Female 0	Hispanic or I Male 0 2	Latino Unknown/ Not Reported 0 0	Female	ispanic or Lat Male 3 0	tino Unknown/ Not Reported 0 0	Female 0 0	eported Ethn Male 0	icity Unknown/ Not Reported 0	3
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More...

- One of our proposed Pilot projects, was using Human Subjects and needed Planned Enrollment. BUT since this is an RPPR this generated a warning –an Inclusion Enrollment Report was required.
- You can ignore this warning.
Section H. Budgets

- Nothing unusual
- Follow SF 424 instructions

SUGGESTIONS- Sending out Instructions to your PIs

I sent out the instructions including the RPPR forms.
Most PIs will send you the same thing they always have.
It is helpful, if they answer all the questions and also attach the text boxes or pdf files.

- I had only 1 PI who did this and it was so easy.

U.S. Department of Health & Hu	man Services	🗙 www.hhs.gov
Commons A program of the National	l Institutes of Health	Welcome: Mary Sundamo ID: MSUNDSMO Institution: UNIVERSITY OF CALIFORNIA SAN DIEG Roles: ASST Logout Contact Us Help
	NIH	
Grant List Manage RPPR		nin Supp eRA Partners t F Changes G Special Reporting Req H Budget
B. Accomplishments		
Save Cancel		Overall
B.1 What are the major goals of th	e project?	
collaboration and integration of major activitie	s of the project. Report the major goals.	pproved by the agency. Emphasize the synergy, specific to an component under that component. If the ct, identify these dates and show actual completion dates
Generally, the goals will not change from one during the reporting period, list the revised go approved application or plan.	reporting period to the next. However, it als and objectives. Also explain any sign	the awarding agency approved changes to the goals nificant changes in approach or methods from the agency
· · · · · · · · · · · · · · · · · · ·	Naniferent changes in abjectives and so	
"Goals" are equivalent to "specific aims." 3 Policy Statement, 8.1.2). List the major goals below (NIH recommendation)		ope require prior approval of the agency (e.g., NIH Grants characters or approximately 3 pages.)
Policy Statement, 8.1.2).		
Policy Statement, 8.1.2).	ended length is up to 1 page. Limit is 8000	
Policy Statement, 8.1.2). Ust the major goals below (NIH recomm list the major goals below (NIH recomm list the major goals change	ended length is up to 1 page. Limit is 8000 rs. ed since the initial competing a	
Policy Statement, 8.1.2). List the major goals below (NH recomm Total remaining allowed limit is 8000 characte	ended length is up to 1 page. Limit is 8000 rs. ed since the initial competing ar r these goals? all award: 1) major activities: 2) signification 3) key outcomes or other addivenment for that component. As the project program	characters or approximately 3 pages.)
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What the Application looks like



Each component except Overall will show you the forms before the entire component application

Added by RPPR \rightarrow	RPPR - Admin Core-8836	FINAL
/	B. COMPONENT ACCOMPLISHMENTS	
Text box→	B.1 What ARE THE MAJOR GOALS OF THE PROJECT? Provide overall scientific leadership to the ADRC and advance its mission. This includes setting research priorities and ensuing that resources are available to support basic, clinical and translational research and to enhance produce the resources are available to support basic, clinical and translational research and to enhance produce the research and the ADBC earl and monitor that monares into the research and the ADBC earl and monitor that monares into the research and to enhance produce the research and to enhance produce the research and to enhance produce the research and the ADBC earl and monitor that monares into the research and the research and to enhance produce the research and the re	tivity of ongoing and
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	NOTHING TO REPORT B.5 HOW HAVE THE RESULTS BEEN DISSEMINATED TO COMMUNITIES OF INTEREST? NOTHING TO REPORT B.6 WHAT DO YOU PLAN TO DO DURING THE NEXT REPORTING PERIOD TO ACCOMPLISH THE GOALS? 	

RPPR

Core Headers/Footers

RPPR Core 8837 = Clinical Core

	B. COMPONENT ACCOMPLI	SHMENTS
B.1 WHAT ARE THE MAJOR GO	DALS OF THE PROJECT?	
proposed research, and to assist changed since our original applica-	in the development of new clinical and interdi	cal resources, and clinical data to support ongoing and sciplinary research directions. The specific aims have n nish-speaking

B.6 WHAT DO YOU PLAN TO DO DURING THE NEXT REPORTING PERIOD TO ACCOMPLISH THE GOALS?





Personal Challenges: Cover pages

Ticket Number: 168431

Brief Description: RPPR Multi component - my components are unstable and change even when saved **Ticket Details:**

The cover pages and name of my components change even when saved each time I open them. Recently Core A -Administrative had the Core Leader of the ORE Core and was named ORE Core but had sections B-H of the Admin Core. One of my Pilots (Final) 30.2 has been renamed Pilot Project (Interim) 31.3 "Microstructural Biomarkers of MCI and Alzheimer's disease" and has the Pilot PI of 31.3 - but has the appropriate sections B-H of Pilot (Final) 30.2.

I seem to never know what to expect when I open our RPPR application, let alone how to stabilize this. I have corrected the errors repeatedly and they seem to change from one time to the next. I have even tried to log out of the Commons each time I finish a Component to see if that helped stabilize my data but it didn't help. Commons response: Too many people are working on your application at one time – Hogwash!

Moving Cover Pages

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SUGGESTIONS

- If you are have an ASST delegation in the Commons you will be able to do most tasks, but not all.
- Make sure you have your PIs Commons login and password unless he is on standby when you need him.

Routing to your SO for submission

- Has to be done through your PIs Commons account
- My SO couldn't see the upload; we notified the Commons; took more than a week to correct.
- Still had moving cover pages at this time.



RPPR for P01s

Krista L. Moulder, PhD



Highlights

- In general, follow everything Mary just said!
- I also sent my component leaders a worksheet of the actual RPPR forms to complete:

RPPR Template

Updated 01.02.15

B. ACCOMPLISHMENTS

B.1 What are the major goals of the project? "Goals" are equivalent to "specific aims." List the major goals of the project as stated in the approved application or as approved by the agency. If the application lists milestones/target dates for important activities or phases of the project, identify these dates and show actual completion dates or the percentage of completion. (Limit is 8000 characters)

Note: Generally, the goals will not change from one reporting period to the next. However, if the awarding agency approved changes to the goals during the reporting period, list the revised goals and objectives. Also explain any significant changes in approach or methods from the agency approved application or plan.

Complete this section.

B.1.a Have the major goals changed since the initial competing award or previous report? ☐Yes ☐ NO **Should be No.**

B.2 What was accomplished under these goals? For this reporting period describe: 1) major activities; 2) specific objectives; 3) significant results, including major findings, developments, or conclusions (both positive and negative); and 4) key outcomes or other achievements. Include a discussion of stated goals not met. As the project progresses, the emphasis in reporting in this section should shift from reporting activities to reporting accomplishments. Response should not exceed 2 pages.

Provide as Word document.

Highlights

- Inclusion Enrollment Table(s) also in Overall section G.4.b, not in the individual components.
- We have historically included "life of the grant" Inclusion Enrollment Tables in addition to tables for the current grant cycle. Nowhere to upload/enter this info in RPPR.
 - Included at end of B.2 ("Goals") section of relevant component

The Inclusion Enrollment Report for the current grant cycle (8-1-13 through 12-31-14) has been completed in Section G.4.b of the Overall component. Below, we include the cumulative enrollment since the inception of the Clinical Core (1-18-84 through 12-31-14):

				Eth	nic Categor	ies					
Racial Categories	Not H	lispanic or L	atino	His	spanic or Lati	ino	Unknown	Not Reported	d Ethnicity	Total	
	Female	Male	Unknown/ Not Reported	Female	Male	Unknown/ Not Reported	Female	Male	Unknown/ Not Reported		
American Indian/ Alaska Native	1	1	0	0	0	0	0	0	0	2	
Asian	0	2	0	0	0	0	0	0	0	2	
Native Hawaiian or Other Pacific Islander	0	0	0	0	1	0	0	0	0	1	
Black or African American	119	44	0	0	0	0	0	0	0	163	
White	755	550	0	1	0	0	0	0	0	1,306	
More Than One Race	2	1	0	0	0	0	0	0	0	3	
Unknown or Not Reported	0	0	0	0	0	0	0	0	0	0	
Total	877	598	0	1	1	0	0	0	0	1,477	

Offering "Encouragement" to Component Leaders

- **B2** "Goals": **B.2** What was accomplished under these goals? For this reporting period describe: 1) major activities; 2) specific objectives; 3) significant results, including major findings, developments, or conclusions (both positive and negative); and 4) key outcomes or other achievements. Include a discussion of stated goals not met. As the project progresses, the emphasis in reporting in this section should shift from reporting activities to reporting accomplishments.
- Tendency to be brief
- Need to convince them to show data/figures

- This was the "meat" of the old paper report

Offering "Encouragement" to Component Leaders

- F2 "Challenges": F.2 Describe actual or anticipated challenges or delays and actions or plans to resolve them.
- Tendency to say "N/A"
- Need to convince them this is an opportunity
 - Demonstrate ability to overcome problems
 - Demonstrate advance planning for the Core/Project
 - Opportunity to explain delays beyond their control (e.g., infection of a mouse colony)

New Requirement: Resource Sharing

- C.5.b "Resource Sharing": C.5.b Any Resource sharing? Include information on the number of requests fulfilled during this reporting period.
- Not formally required in previous PO1 progress reports
- Instructed individual components to say "See Overall component for description of resource sharing"
- In Overall C.5.b, used ADC Admin Table format (but did not separate by funding source type)

Part 2 – My NCBI and RPPR

- Build your Bibliography <u>under your PIs name</u>, using his Commons ID.
- You can add publications directly from PubMed by searching for your award number or authors, checking the box and send it to My Bibliography.
- Adding pubs by award number will get you many but not all. You will have to select those to keep and add the award number. This is pretty straight forward.

- You will also get some very old pubs as early as 2000. This will have to be dealt with later, assuming you choose to keep them in My Bibliography and have a comprehensive list.
- Everything you see in My Bibliography will be linked to RPPR if your award is listed.

RPPR C1

Are there publication from this award?		ed for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly
If yes, select from the	e table below to affiliate p	ublications with this progress report.
If you need to login to	My NCBI account please u	use this link: My NCEL 😰
and the second		All publications associated with this project in My NCB
One item found.		
Associate with this RPPH	filH Public Access Compliance	Otation /
R	Complete	Jefferson, Thomas. An assessment of environmental factors on public health. Health Publ. 2011 Nov; 21 (11): 201-231. PubMed PMID: 12345678, PubMed Central PMCID: PMC1234567
Sort Table Above By	Date Of Publication .	Then By Author
C Ascending @ D		C Ascending @ Descending
Hide publications	CONTRACTORIES.	
One item found		Publications not associated with this project in My HCB
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One item found Associate with the RPPR	IIII Public Access Compliance Complete	Clason Jefferson, Thomas: Study of Child Health & Development in the United States. Health Publ. 2011 Nov, 21 (11): 201-231: PubMed PMID: 12341234; PubMed Ce PMCID PMC1111111
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- Review the publications in C1 and check the box next to each publication that you wish to <u>associate</u> with the progress report.
- Those not selected will move down to the "Publications not associated with this progress report".
- This is appropriate if the pub has previously been reported or if the pub is from a date range not covered in the progress report.
- NOTE: The first time you do this, it will not work like this → more from Krista in just a bit!

 Once you have your Bibliography compiled, you can check the status of Public Access
 Compliance by looking at Display Settings: Award view, Public Access Compliance, group by citation type.

Public Access Compliance

This – 'No funding has been associated with this citation' will only appear if you searched and added pubs by author.

Display Settings: Award view, Sort by public access compliance, group by citation type	
Select: All, None 0 tems selected Move Delete Copy View Suggest Assign Awards to Citations PDF report	Add citation
Journal Articles	
1: Calkin AC, Drew BG, Ono A, Duffy SJ, Gordon MV, Schoenwaelder SM, Sviridov D, Cooper ME, Kingwell BA, Jackson SP. <u>Reconstituted high-density lipoprotein attenuates platelet function in individuals with type 2 diabetes melitus by promoting cholesterol efflux.</u> Circulation. 2009 Nov 24;120(21):2095-104. Epub 2009 Nov 9. PubMed PMID: 19901191.	Publication year:
Public Access Compliance: Edit Status NIH Funding: No funding has been associated with this citation. Add award	Awards: No award selected
 Sheets AL, Abrams GD, Corazza S, Safran MR, Andriacchi TP. <u>Kinematics differences between the flat, kick, and sice serves</u> measured using a markerless motion capture method. Ann Biomed Eng. 2011 Dec;39(12):3011-20. Epub 2011 Oct 8. PubMed PMID: 21984513. 	R01 AG026240-01A1 Non-invasive R01 CA057683-15 Toward a mol R00 CA126839-03 Imaging and
Public Access Compliance: Edit Status NH Funding: No funding has been associated with this citation. Add award	R01 NS084983-01A1 Glioblastoma +
3: Shelton GD, Johnson GC, O'Brien DP, Katz ML, Pesayco JP, Chang BJ, Mizisin AP, Coates JR. <u>Degenerative myelopathy associated with a missense mutation in the superoxide dismutase 1 (SOD1) gene progresses to peripheral neuropathy in Pembroke Welsh Corgis and Boxers, J Neurol Sci. 2012 Jul 15;318(1-2):55-64. Epub 2012 Apr 27. PubMed PMD: 22542607.</u>	Publication type: Manually-added citation Other
Public Access Compliance: Edit Status NH Funding: No funding has been associated with this citation. Add award	PubMed citation Paper-grant associations:
Differential effect of collagen type I rigidity on the invasion of metastatic breast carcinoma cells. Cancer research Differential effect of collagen type I rigidity on the invasion of metastatic breast carcinoma cells. Cancer research Differential effect of collagen type I rigidity on the invasion of metastatic breast carcinoma cells. Cancer research Differential effect of collagen type I rigidity on the invasion of metastatic breast carcinoma cells. Cancer research Differential effect of collagen type I rigidity on the invasion of metastatic breast carcinoma cells. Cancer research NIH Subject Access Compliance: In process at NIHMS. [Edit Status] NIHMS ID: <u>NIHMS9543</u> NIH Funding: R01 CA085140-06 - Integrative Biology of Tumor Metastasis	Added by other PIs Added by NIHMS Linked to my Awards
Add or delete award	Apply filters Clear all filters

Non-compliant articles

• Decision time:

- You must decide if you think you can bring the pubs into compliance before your Progress Report is due.
- If the journal does not do the submission automatically, you may have to upload the article manually. This is a fair amount of work. Each step requires approval by an author or your PI.
- Since many authors do not response to the emails they get from the NIHMS submission system, the article may be very close to compliance but 'stalled' somewhere.
- Get familiar with the NIH MS submission system <u>www.nihms.nih.gov</u> and how to look up NIHMSID and PubMed ID numbers, figure out the status of a pub and where it is in the process.

- If you do not fix the non-compliant pubs, they will be linked to your RPPR as non-compliant and submitted that way. Your NOA may be held up until your publication list is compliant
- Once you have all your publications in compliance with Public Access policy, you will need to submit a pdf from My NCBI through the PRAM link on your award STATUS in the Commons, showing compliance.
- Then route it to your SO for submission.

PRAM

Status Result - List of Applications/Grants @

Notes & Tips:

Important: The NIH provides the JIT (Just in Time) link in the Commons for applications receiving a percentile of less than 30 or for applications receiving a priority score of between 10 and 60 if no percentile is
provided. Please await instructions from the NIH on whether to complete this information.

The following list of applications/grants represents a result of the search by Grants.gov Tracking # or a complete list of all your applications/grants. If you do not see a complete list of your applications/grants, please click List of Applications/Grants menu tab again.

Application ID 🖨	Grants.gov Tracking	Proposal Tibe	PD/PI Name	e Submission Status	Current Application Status	Status Date	Action
H23H0123456-03		A New Model for the Deliver of Well-Child Care	JEFFERSO THOMAS	4	Pending	09/30/2012	BPPB Public Access PRAM
7DP1CA654321-04 (MPI)	GRANT00123456	Crime & Punishment and the Effects on Mental Health	JEFFERSO THOMAS	V. Submission Complete	Pending	09/26/2012	Transmittal Sheet
AN.1234567	GRANT00234567	The Red Badge of Courage and Other Skin Disorders	JEFFERSO	V, Submission Complete	Application has been entered into computer	08/22/2012	Transmittal Sheet

	Grant Information
Grant Number:	5K23HD123456-03
PD/PI Name:	JEFFERSON, THOMAS
Project Title:	A New Model for the Delivery of Well-Child Care
institution:	PRESIDENTIAL UNIVERSITY
Status:	PD/PI Work in Progress
Current Reviewer:	Jefferson, Thomas
Provide verification that a	Public Access Compliance I publications are in compliance with the NIH Public Access Policy with the NIH Public Access Policy by uploading a My NCBI PDF report demonstrating that previously non-compliant papers reported on the RPPR are now compliant.
Provide verification that a • Verify compliance Citations must be	Public Access Compliance I publications are in compliance with the <u>NIH Public Access Policy</u>
Provide verification that a • Verify compliance Citations must be	Public Access Compliance I publications are in compliance with the <u>NH Public Access Policy</u> with the NIH Public Access Policy by uploading a My NOBI PDF report demonstrating that previously non-compliant papers reported on the RPPR are now compliant, we one of the following statuses: "Complete", "NA" (not applicable), "PIMC Journal in Process", "In process at NaHMS", e verification, provide a justification for why the specific publication(s) cannot be brought into compliance.

Now you can pat yourself on the back for a job well done.



Publications and RPPR

- <u>NOT-OD-15-090</u> (4-10-15) Amend instructions for reporting publications in RPPR.
- "NIH can only systematically link publications to awards if those papers were reported to us electronically using RPPR or eSNAP. These definitive linkages have not been possible for publications reported on paper forms, such as the PHS 2590."
- Bottom line: The first time that you use RPPR for a given grant, you will have to include all publications linked with the grant, including those prior to the current reporting period.

Publications and RPPR

- But what if some of those publications are before 2008 and/or are noncompliant for the NIH Open Access policy?
 - If older than 2008, can mark them as "does not need to be submitted" in MyNCBI:

Activate the pop-up wizard by clicking either a red dot $oldsymbol{9}$ or click the question mark $\widehat{{oldsymbol{2}}}$ c

Did the NIH support this citation, in whole or in part?	
© Yes ◎ No	
Save & Close	Cancel

The wizard helps users to begin a manuscript submission, link to a previously-submitted n their citation is exempt from the policy.

Did the NIH support this citation, in whole or in part?	
Yes	
© No	
The NIH Public Access Policy requires scientists to submit final, peer-reviewed jo manuscripts that arise from NIH funds to the digital archive PubMed Central upor for publication. (See <u>Determine Applicability</u> for full details.) Please submit the fin manuscript sent to your publisher or indicate that this publication is exempt from the for the second	n acceptance al
We do not have a record of this citation in NIH Manuscript Submission system (NI Please choose from the following:	IHMS).
Begin submission in the NIHMS.	
This citation has been submitted. NIHMS ID:	
 Arrangements have been made for a <u>publisher on this list</u> to send the final a directly to PubMed Central. (<u>Method B</u>) 	article
This citation does not need to be submitted under NIH Public Access becau	ISE:
Publication was not peer reviewed	
Publication was accepted for publication before April 7, 2008.	
Publication was written in a script other than Latin (e.g., Russian, Jap	oanese).
Publication was not <u>directly supported by</u> NIH.	
Save & Close	Cancel

Publications and RPPR

- But what if some of those publications are before 2008 and/or are noncompliant for the NIH Open Access policy?
 - If older than 2008, can mark them as "does not need to be submitted" in MyNCBI:
 - If 2008 or later, these will need to be compliant in order for grant funds to be received.

Two More Thoughts...

1) Ability to select and deselect publications in RPPR also works this way for PO1s:

RPPR

FINAL

C. OVERALL PRODUCTS

C.1 PUBLICATIONS

Are there publications or manuscripts accepted for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly from this award?

Yes

Publications Reported for this Reporting Period

Public Access Compliance	Citation
Complete	Wang L, Miller JP, Gado MH, McKeel DW, Rothermich M, Miller MI, Morris JC, Csernansky JG. Abnormalities of imprecampal surface structure in very mild dementia of the Alzheimer type. Neuroimage, 2006 Mar;30(1):52-60. PubMed PMID: 16243546; PubMed Central PMCID: PMC2853193.
Complete	Wang L, Beg F, Ratnanather T, Ceritoglu C, Younes L, Morris JC, Csernansky JG, Miller MI. Large deformation diffeomorphism and momentum based hippocampal snaps discrimination in dementia of the Alzheimer type. IEEE Trans Med Imagin(, 2007) Apr;26(4):462-70. PubMed PMID: 17427733; PubMed Central PMCID: PMC2048689.
Complete	Cairns NJ, Bigio EH, Mackenzie IR, Neumann M, Lee VM, Hatanpaa KJ, White CL 3rd, Schneider JA, Grinberg LT, Halliday G, Duyckaerts C, Lowe JS, Holm IE, Tolnay M, Okamoto K, Yokoo H, Murayama S, Woulfe J, Munoz DG, Dickson DW, Ince PG, Trojanowski JQ, Mann DM, Consortium for Frontotemporal Lobar Degeneration. Neuropathologic diagnostic and nosologic criteria for frontotemporal lobar degeneration: consensus of the Consortium for Frontotemporal Lobar Degeneration. Acta Neuropathol. 2007 Jul;114(1):5-22. PubMed PMID: 17579875; PubMed Central PMCID: PMC2827877.

Two More Thoughts...

2) When linking a publication with your grant in MyNCBI, need to be sure to select the correct award/component:

8-	
Use the checkboxes to assign awards to the selected citations:	Go to SciENcy See all collections My Bibliography help
NIH Awards Search/Add other awards	E format)
My awards:	T Download as left Add citation
Control AG021164 - Center For Aging P01 AG003991 - Healthy Aging and Senile Dementia; ADMINISTRATION; ADMINISTRATIVE CORE; CLINICAL; CORECLINICAL; Core A: Administrative Core; Core B: Clinical Core; DEMENTIA ASSESSMENT IN THE COMMUNITY; INTELLECT AND ALZHEIMER CHANGES IN THE VERY OLD; PRECLINICAL ALZHEIMER CHANGES IN THE VERY OLD; PRECLINICAL ALZHEIMER CHANGES IN THE VERY OLD; PRECLINICAL, ALZHEIMER STOR ALZHEIMER, SEE DE ADU, THE ALL THE VERY OLD; PRECLINICAL ALZHEIMER SEE DE ADU, THE ADULT CHILDREN STUDY; ANTECEOENT BIOMARKERS SEE DE ADU, THE ADULT CHILDER STUDY; ANTECEOENT BIOMARKERS SEE DE ADU, THE ADULT CHILL ADULL CHILDDEN ASTIVE; Administrative CARE	Filter citations by: Publication date: YYYY MM to YYYY MM to YYYY MM to award selected N01 HC025195 Framingham H N01 HC015103 Cardiovascul.
Other awards:	N01 HC035129 Cardiovascul N01 HC085086 CENTRAL BLOO V
 DP2 OD006662 - The Olfactory Neural Circuit as a systems Level Model of Neurodegenerative Diseas F30 AG041681 - Assessment of Gene Effects on the Brain and Disease Risk through Machine Learning F31 NS079039 - Tracking the Propagation of Misfolded Tau: A Study of Cellular Uptake and Traffic F32 AG005886 - COMBINED STRUCTURE/FUCNTION ANALYSIS OF THE AGING BRAIN F32 AG041543 - The Role of Sleep in Cognitive Declines in Normal Aging and Mild Cognitive Impair; Role of Sleep in Cognitive Declines in Normal Aging and Mild Cognitive Impairment 	ASID: Publication type: PubMed citation Manually-added citation Paper-grant associations: Added by other Pis Added by NIHMS Linked to my Awards Apply fitters Clear all fitters
	NIH Awards Search/Add other awards My awards: Maxwords: Image: Search/Add other awards Search/Add other awards Image: Sear