

Disclosure of Imaging Biomarker Results in Cognitively and Ethno-racially Diverse Participants



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## Outline

- To Disclose or Not to Disclose?
- Disclosure Needs Assessment
- Multi-Marker Disclosure Protocol
- Preliminary Outcomes
- Future Directions





## ADC Network Return of Results

**TABLE 1** Return of individual research results, by type (N = 30 centers)

	Type of participant		
Type of information	Dementia or MCI	Normal cognition or SMC	N/A
Consensus research diagnosis	25 (83%)	23 (77%)	0
Neuropsychological test results	22 (73%)	21 (70%)	0
Amyloid PET results	13 (43%)	8 (27%)	6 (20%)
MRI results	12 (40%)	10 (33%)	3 (10%)
FDG PET results	8 (27%)	6 (20%)	10 (33%)
Genetic test results, not APOE	4 (13%)	3 (10%)	5 (17%)
Tau imaging results	3 (10%)	2 (7%)	13 (43%)
CSF biomarker results	3 (10%)	1 (3%)	8 (27%)
APOE genetic test results	2 (7%)	2 (7%)	0





# Why Not to Disclose

- Different ligands, data collection and analytic methods
- No agreed-upon cut-point for 'positivity'
- Few published protocols, particularly integrating multiple markers
- Few centralized post-disclosure resources
- Limited research with racial-ethnic minorities or other minority groups
- Concerns about liability/risk





## Why are amyloid/tau particularly hard to communicate?

Alzheimer's Disease  $\neq$  Dementia – Alzheimer's Type Etiology vs. Phenotype Research Results + Clinical Diagnosis Context Currently Not Elevated Permanently Not Elevated Dynamic Nature Elevated Results  $\neq$  Definitive Dementia Prognosis Prognosis Elevated Results + Ruling Out Other Conditions/Contributors Contribution to Clinical **Picture** 





# Why Disclose?

- Potentially actionable results for participants & care partners
  - Clinical Care, Treatment Personalization IDEAS
  - Behavior/Lifestyle Change REVEAL SCAN
  - Advanced Planning REVEAL SCAN
  - Role Preparation
- Transparency → Trust-Building
  - Higher Retention
  - Recruitment into Clinical Trials





# Research Question 1

Are diverse participants and their family members interested in learning about other risk indicator or biomarker results?





## Interest in Cognitive Test Results & Phenotypic Diagnosis

		<u>Partici</u>	oants ( <i>n</i> = 5	57 <u>)</u>	<u>Co-Partio</u>	cipants ( <i>n</i> =	<u>57)</u>
		<u>Black</u>	<u>White</u>		<u>Black</u>	<u>White</u>	
		<u>(n = 22)</u>	<u>(n = 35)</u>	<u>p</u>	<u>(n = 19)</u>	<u>(n = 38)</u>	<u>p</u>
Interest in	No Interest	0 (0.0%)	0 (0.0%)		0 (0.0%)	0 (0.0%)	
Receiving	Very Little Interest	0 (0.0%)	0 (0.0%)		1 (5.3%)	0 (0.0%)	
Cognitive Test	Neutral	1 (4.6%)	1 (2.9%)		0 (0.0%)	3 (7.9%)	
Results, Current	Moderate Interest	3 (13.6%)	3 (8.6%)		2 (10.5%)	0 (0.0%)	
Diagnosis	Strong Interest	18 (81.8%)	31 (88.6%)	.838	16 (84.2%)	35 (92.1%)	.040*
Diagriosis	Average Score	3.77 (0.53)	3.86 (0.43)	.512	3.74 (0.73)	3.84 (0.55)	.544
Would you choose to receive cognitive testing results today?							
	Yes	22 (100.0%)	34 (97.1%)	.999	17 (89.5%)	37 (97.4%)	.544

- Participants report high interest in cognitive test results/diagnosis regardless of race or diagnosis.
- Co-participants also report high interest; however, interest is stronger in white co-participants than in Black co-participants.





### Interest in Structural MRI Results

		<u>Partici</u>	oants ( <i>n</i> = 5	<u>57)</u>	<u>Co-Partio</u>	cipants ( <i>n</i> =	<u>57)</u>
		<u>Black</u>	<u>White</u>		<u>Black</u>	<u>White</u>	
		<u>(n = 22)</u>	<u>(n = 35)</u>	<u>p</u>	<u>(n = 19)</u>	<u>(n = 38)</u>	$\underline{\mathcal{D}}$
Interest in	No Interest	1 (4.6%)	1 (2.9%)		1 (5.3%)	0 (0.0%)	
Receiving	Very Little Interest	0 (0.0%)	0 (0.0%)		2 (10.5%)	0 (0.0%)	
Structural MRI	Neutral	0 (0.0%)	1 (2.9%)		0 (0.0%)	3 (7.9%)	
Results	Moderate Interest	3 (13.6%)	3 (8.6%)		2 (10.5%)	2 (5.3%)	
results	Strong Interest	18 (81.8%)	30 (85.7%)	.901	14 (73.7%)	33 (86.8%)	.053
		2.60 (0.00)	2.74 (0.70)	707	2 27 (4 20)	2.70 (0.50)	007
	Average Score	3.68 (0.89)	3.74 (0.78)	.787	3.37 (1.26)	3.79 (0.58)	.087
Would you choose to receive MRI results today?							
	Yes	20 (90.9%)	34 (97.1%)	.553	17 (89.5%)	36 (94.7%)	.594

- Participants report high interest in MRI results regardless of race or diagnosis.
- Co-participants also report high interest; however, there was a trend towards stronger interest in white co-participants than in Black coparticipants.
- Among risk indicators, MRI results were of relatively lower interest.





## Interest in APOE Genotype Results

		<u>Partici</u>	oants ( <i>n</i> = 5	<u>57)</u>	<u>Co-Partio</u>	cipants ( <i>n</i> =	57)
		<u>Black</u>	<u>White</u>		<u>Black</u>	<u>White</u>	
		<u>(n = 22)</u>	<u>(n = 35)</u>	<u>p</u>	<u>(n = 19)</u>	<u>(n = 38)</u>	<u>p</u>
Interest in	No Interest	0 (0.0%)	0 (0.0%)		1 (5.3%)	0 (0.0%)	
Receiving APOE	Very Little Interest	1 (4.6%)	0 (0.0%)		2 (10.5%)	0 (0.0%)	
Genotype	Neutral	1 (4.6%)	1 (2.9%)		0 (0.0%)	4 (10.5%)	
denetype	Moderate Interest	2 (9.1%)	2 (5.7%)		1 (5.3%)	2 (5.3%)	
	Strong Interest	18 (81.8%)	32 (91.4%)	.718	15 (79.0%)	32 (84.2%)	.066
	Average Score	3.68 (0.78)	3.89 (0.40)	.199	3.42 (1.3)	3.74 (0.64)	.214
Would you choose to receive APOE genotype today?							
J	Yes	21 (95.5%)	34 (97.1%)	.999	15 (79.0%)	36 (94.7%)	.164

- Participants report high interest in genetic results regardless of race or diagnosis.
- Co-participants also report high interest in receiving the participant's genetic results; however, there was a trend towards stronger interest in white co-participants than in Black co-participants.





## Interest in PET Amyloid & Tau Results

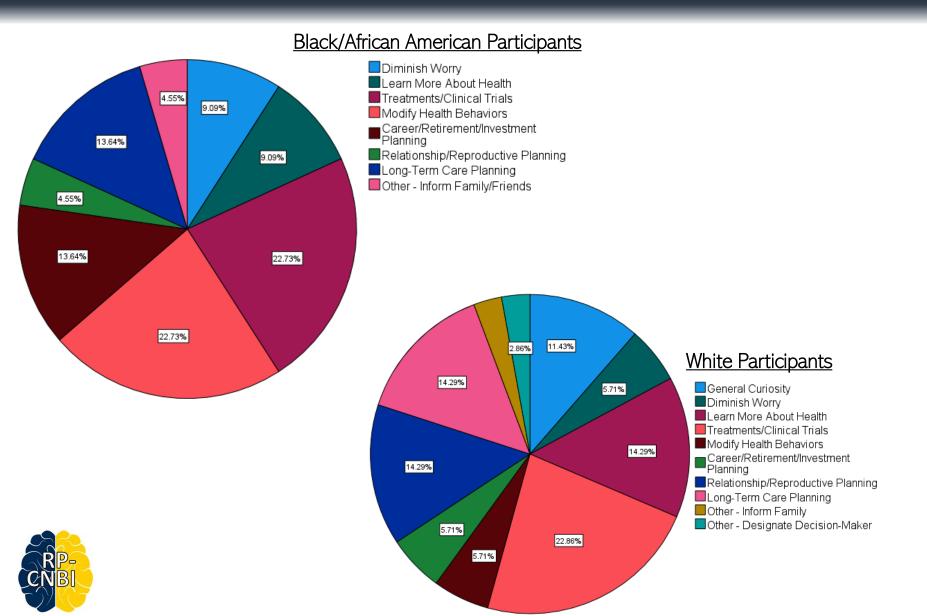
		<u>Partici</u>	oants ( <i>n</i> = 5	<u>57)</u>	<u>Co-Partio</u>	cipants ( <i>n</i> =	<u>57)</u>
		<u>Black</u>	<u>White</u>		<u>Black</u>	<u>White</u>	
		<u>(n = 22)</u>	<u>(n = 35)</u>	<u>p</u>	<u>(n = 19)</u>	<u>(n = 38)</u>	<u>p</u>
Interest in	No Interest	0 (0.0%)	1 (2.9%)		1 (5.3%)	0 (0.0%)	
Receiving PET	Very Little Interest	0 (0.0%0	0 (0.0%)		2 (10.5%)	0 (0.0%)	
Amyloid & Tau	Neutral	0 (0.0%)	0 (0.0%)		1 (5.3%)	3 (7.9%)	
Results	Moderate Interest	3 (13.6%)	6 (17.1%)		2 (10.5%)	1 (2.6%)	
ricsuits	Strong Interest	19 (86.4%)	28 (80.0%)	.835	13 (68.4%)	34 (89.5%)	.047*
	Average Score	3.86 (0.35)	3.71 (0.75)	.386	3.26 (1.28)	3.82 (0.56)	.027*
Would you choose to receive PET Amyloid & Tau results today?	9					` '	
	Yes	22 (100.0%)	34 (97.1%)	.999	15 (79.0%)	36 (94.7%)	.164

- Participants report high interest in PET biomarker results regardless of race or diagnosis.
- Co-participants report moderate interest; however, white participants reported greater interest and willingness to receive the participant's PET results than Black participants.

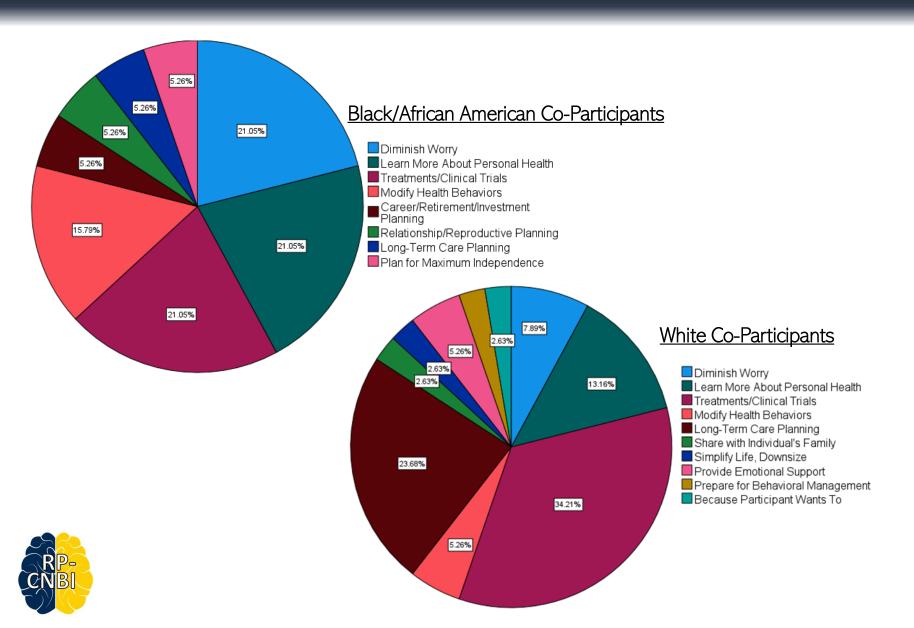




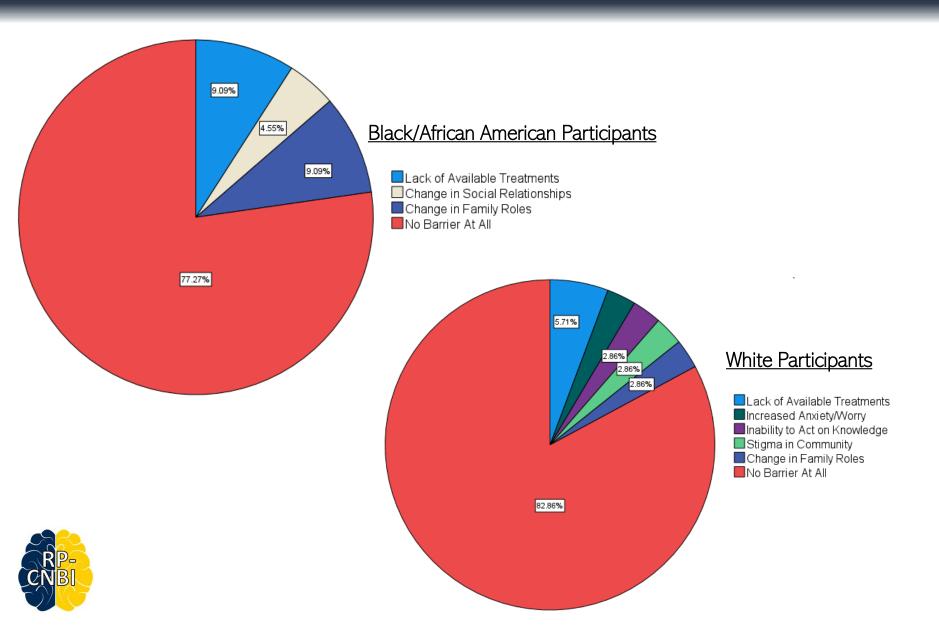
## Diverse Motivations for Disclosure



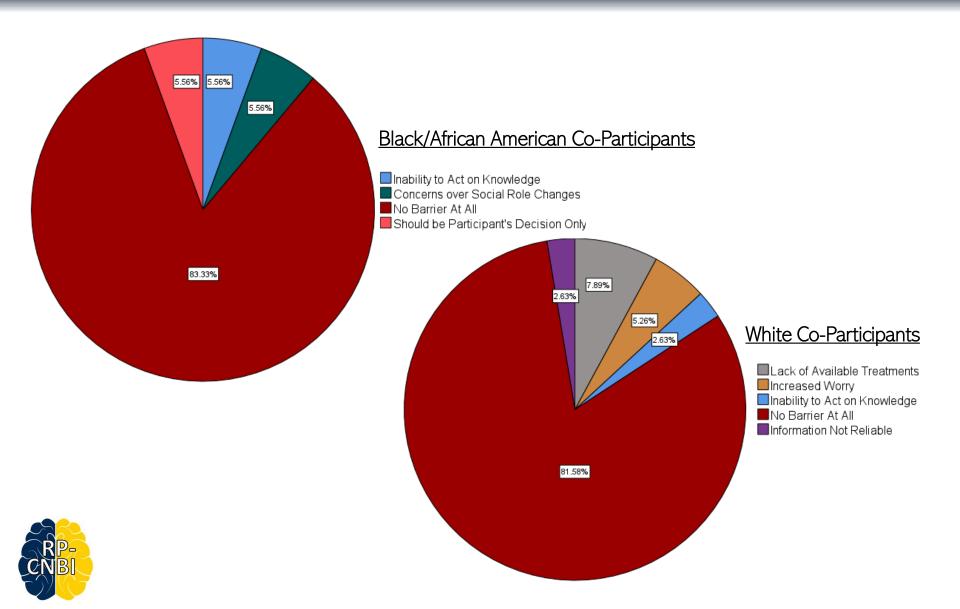
## Diverse Motivations for Disclosure



## Limited Concern About Risks



## Limited Concern About Risks



## Core Question 2

How to Create a Structured but Person-Centered Protocol?





# Integrating Tau & MRI

### Informed Consent

- Biomarker Education
- Disclosure Decision-Making Assessment
  - Shared Decision-Making

### Personalized Disclosure

- NACC UDS-3 Cognitive Test Results
- [11C]PiB Amyloid & [18F]AV-1451 Tau Results
  - If Available: MRI Results

### Post-Disclosure Counseling

- Recommendations
  - Resources

### Post-Disclosure Assessment

- Comprehension/Recall
- Psychological Reactions





# Integrating Tau & MRI

		Cognitive stage					
		Cognitively Unimpaired	Mild Cognitive Impairment	Dementia			
	A T(N)	normal AD biomarkers, cognitively unimpaired	normal AD biomarkers with MCI	normal AD biomarkers with dementia			
ا ه	A <sup>+</sup> T <sup>-</sup> (N) <sup>-</sup>	Preclinical Alzheimer's pathologic change	Alzheimer's pathologic change with MCI	Alzheimer's pathologic change with dementia			
Profile	$A^{+}T^{+}(N)^{-}$ $A^{+}T^{+}(N)^{+}$	Preclinical Alzheimer's disease	Alzheimer's disease with MCI(Prodromal AD)	Alzheimer's disease with dementia			
Biomarker	A+ T- (N)+	Alzheimer's and concomitant suspected non Alzheimer's pathologic change, cognitively unimpaired	Alzheimer's and concomitant suspected non Alzheimer's pathologic change with MCI	Alzheimer's and concomitant suspected non Alzheimer's pathologic change with dementia			
	A T'(N) A T(N) A T'(N)	non-Alzheimer's pathologic change, cognitively unimpaired	non-Alzheimer's pathologic change with MCI	non-Alzheimer's pathologic change with dementia			

Jack et al. (2018) Alzheimer's & Dementia





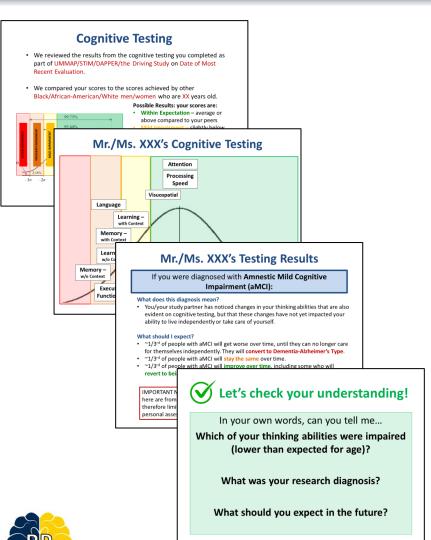
# Integrating Tau & MRI

Result	Is this Alzheimer's Disease?	How does this affect risk for Dementia – Alzheimer's Type?
Neither Amyloid nor Tau Elevated	Not Alzheimer's Disease; 'Normal' Result	No increase in risk for DAT
Amyloid Elevated, Tau Not Elevated	Concern for Alzheimer's disease brain changes	Increased risk for DAT
Tau Elevated, Amyloid Not Elevated	Not Alzheimer's Disease; Concern for other abnormal brain changes	No increase in risk for DAT; Concern for other neurologic problem
Amyloid Elevated, Tau Elevated	Alzheimer's disease	Increased risk for DAT





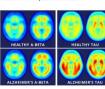
### Audiovisual Educational & Disclosure Materials



#### PET Amyloid & Tau Scan

- We reviewed the results from the PET scan you completed as part of STIM/the Driving Study/DAPPER on XX/XX/XXXX.
- Your results were analyzed by trained professionals to determine whether you had a significant amount of AD-specific amyloid or tau in your brain.
- · You will receive separate results for amyloid and tau

Example of Normal (top) and elevated (bottom) PET scans



#### Amyloid, Tau, & Alzheimer's Disease

Result	Is this Alzheimer's Disease?	How does this affect risk for Dementia – Alzheimer's Type?
Neither Amyloid nor Tau Elevated	Not Alzheimer's Disease; 'Normal' Result	No increase in risk for DAT
Amyloid Elevated, Tau Not Elevated	Concern for Alzheimer's disease brain changes	Increased risk for DAT
Elevated, Amyloid Elevated	Not Alzheimer's Disease; Concern for other abnormal brain changes	No increase in risk for DAT; Concern for other neurologic problem
loid Elevated, Tau ated	Alzheimer's disease	Increased risk for DAT

Having amyloid raises concern for Alzheimer's disease. Having amyloid and tau confirms Alzheimer's disease.

#### Results indicated that:

Your amyloid level is elevated.

Mr./Ms. XXX's PET Results

Your tau level is elevated.

#### Mr./Ms. XXX's PET Results

#### If you have **Elevated Amyloid and Elevated Tau**:

#### What does this result mean?

- At this time, there is a significant amount of AD-associated amyloid and tau in your brain.
- This result means that there is a high likelihood that you have Alzheimer's
- This result means that your cognitive symptoms are likely due to Alzheimer's disease

#### at should I expect? You are at increased

ou are at increased risk to develop Dementia – Alzheimer's Type. Increased isk means there is a greater likelihood; it does NOT mean DAT is guaranteed. We cannot predict how severely or how quickly you will decline. We cannot rule in or out other conditions, including other forms of dementia

In your own words, can you tell me...

Let's check your understanding!

Do you have an elevated level of amyloid at this time?

Do you have an elevated level of tau at this time?

Is there currently evidence of Alzheimer's Disease in your brain?

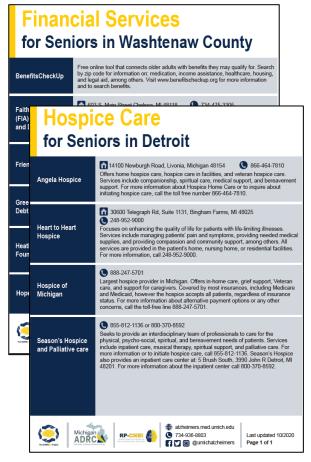
What does that mean about your risk for DAT?





### Personalized Resources











## Core Question 3

How well is complex information understood?

Core Question 4

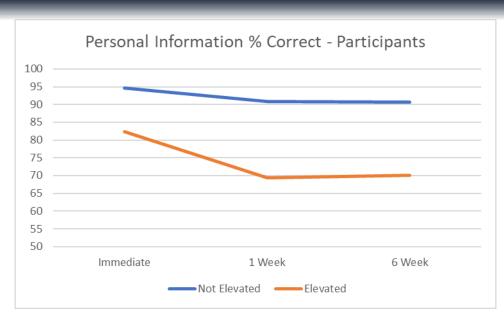
How do participants and their families react to results disclosure?

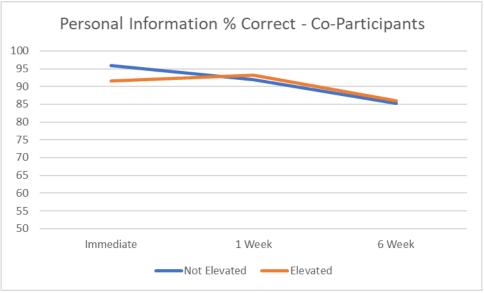




# Comprehension/Recall of Results

- Personal Information: rote memorization of results
- Participants & Co-Participants:
  - No significant differences in recalling results at any time point in biomarker elevated vs. not-elevated participants or their respective co-participants
  - General retention of results over time

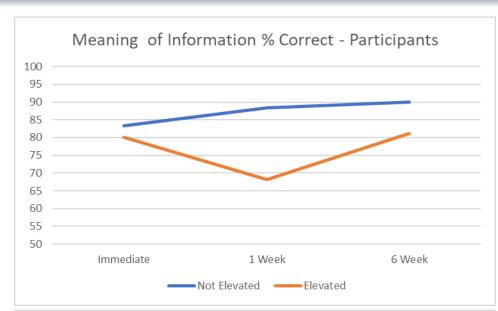


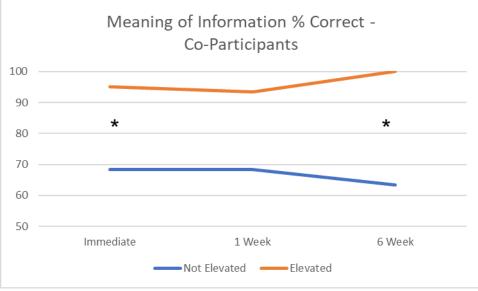


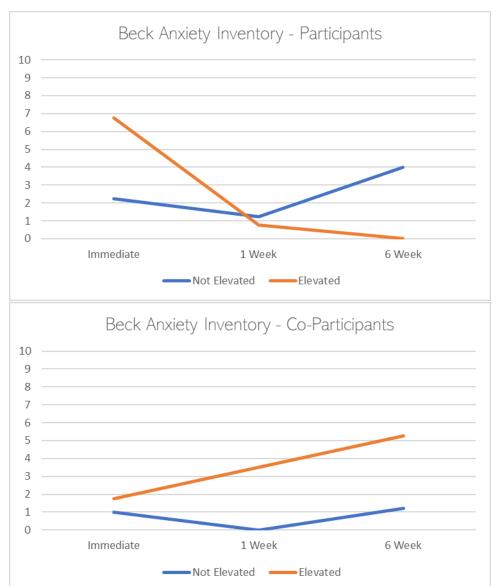


## Comprehension/Recall of Results

- Meaning of Information: recall of the meaning of their results (i.e., elevated amyloid = increased risk for DAT)
- Participants: No significant differences in understanding of results at any time point; general retention of results over time
- Co-Participants: Significantly greater understanding of results immediately and at 6 weeks post-disclosure (trend at 1 week) among co-participants of biomarker-elevated participants; general retention of what was understood
- Generally poorer understanding of the meaning of information, even when actual result is retained.



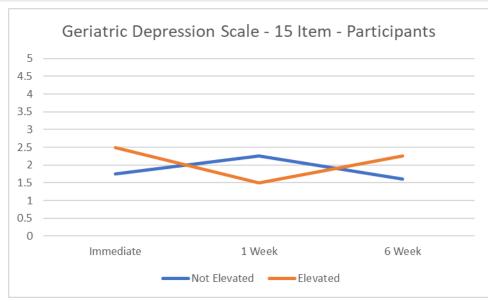


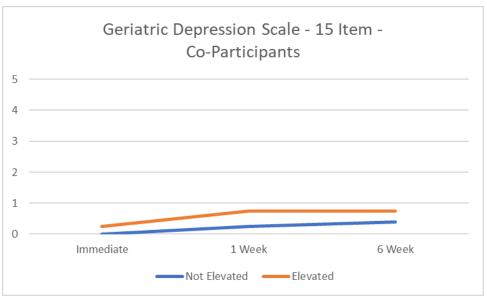


Beck Anxiety Inventory
Test Range: 0-7 Minimal; 8-15 Mild;
16-25 Moderate; 26-63 Severe

- Participants & Co-Participants: No significant difference in BAI score at any time point based on elevated vs. not-elevated biomarker result status
- No disclosure-related elevations into clinical range (>15)



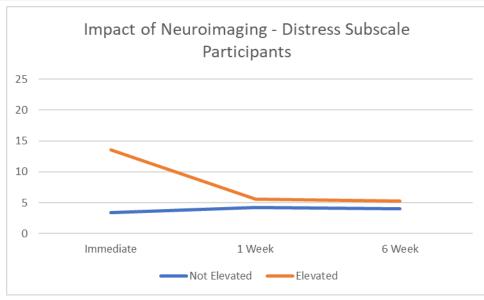


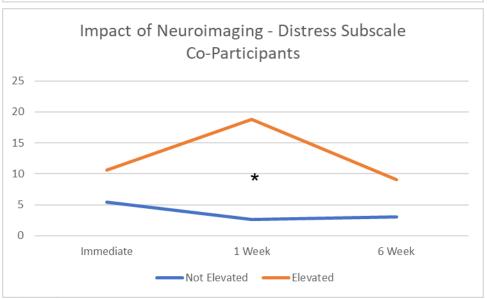


Geriatric Depression Scale – 15 Item Test Range: 0-4 Negative; 5-15 Positive

- Participants & Co-Participants: No significant difference in GDS-15 score at any time point based on elevated vs. not-elevated biomarker result status
- No disclosure-related elevations into clinical range (>5)



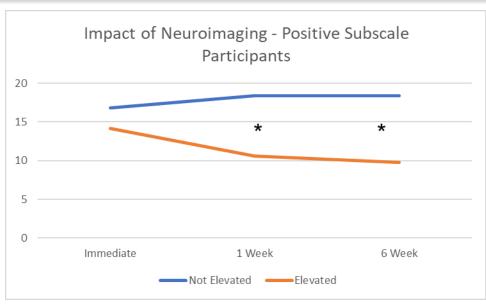


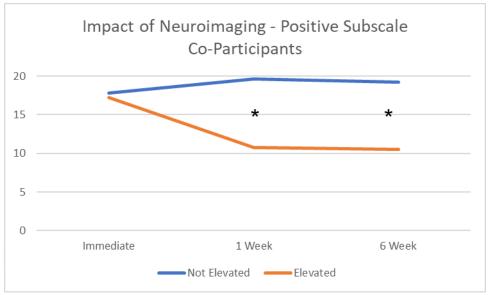


Impact of Neuroimaging Distress Scale Range: 0-60; 0-23 Negative; >23 Positive

- Participants: Trend towards greater distress among biomarker elevated participants immediately postdisclosure; no difference at 1- or 6weeks post-disclosure
- Co-Participants: No difference in distress immediately following or at 6-week post-disclosure; significantly greater distress among loved ones of biomarker-elevated participants at 1 week post-disclosure

Michigan





# Impact of Neuroimaging Positive Subscale Range: 0-20

- Participants: Significantly lower positive reactions among biomarker elevated participants at 1- or 6weeks post-disclosure
- Co-Participants: Significantly lower positive reactions among loved ones of biomarker-elevated participants at 1- and 6-weeks post-disclosure



# Summary

- Disclosure of multiple imaging markers provides a unique opportunity to improve participants' lives and the lives of their caregivers while also promoting recruitment, retention, and community trust.
- Tau and other biomarkers may increase clarity of disclosure messaging; however, communication of risk associated with dynamic biomarkers remains complex
- Preliminary data highlight differences in disclosure interest and reactions based on sociodemographic factors



## Future Directions

- Long-term impacts of disclosure for patients and families
- Social-contextual factors influencing post-disclosure reactions and outcomes
- Actuarial approaches to integrating multiple biomarkers
- Resolution of messaging for 'conflicting' biomarker results (e.g., imaging vs. blood-based)
- Biomarker disclosure for other pathologies/neurodegenerative diseases
- Best practices, competencies, and training in disclosure and risk communication



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#### Participants & Their Families

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- Scott Roberts, PhD (UM SPH)
- Peter Lichtenberg, PhD, ABPP (WSU)
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