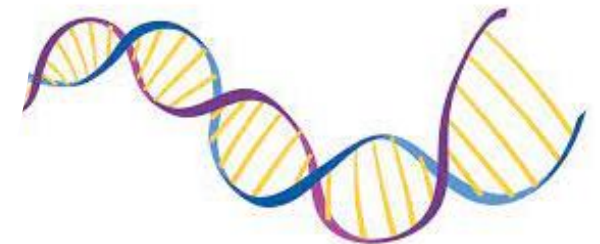


Midlife and Later-life Social Risk Scores (SRSs) Modify the Effects of *APOE* ϵ 4 on Alzheimer's Disease

Xian Wu, PhD
Postdoc Scholar
University of Kentucky
ADRC



Acknowledgment



THE NIA ALZHEIMER'S DISEASE RESEARCH CENTERS PROGRAM

National Alzheimer's Coordinating Center

2024-2026 New Investigator Awards

Comprehensive Gene-Environment Interactions in Alzheimer's Disease

Congratulations,
CCTS DREAM Scholar

Xian Wu, PhD

Dr. Wu has been awarded the prestigious New Investigator Award (NIA) by the National Alzheimer's Coordinating Center (NACC)!

2024 New Investigator Awardees

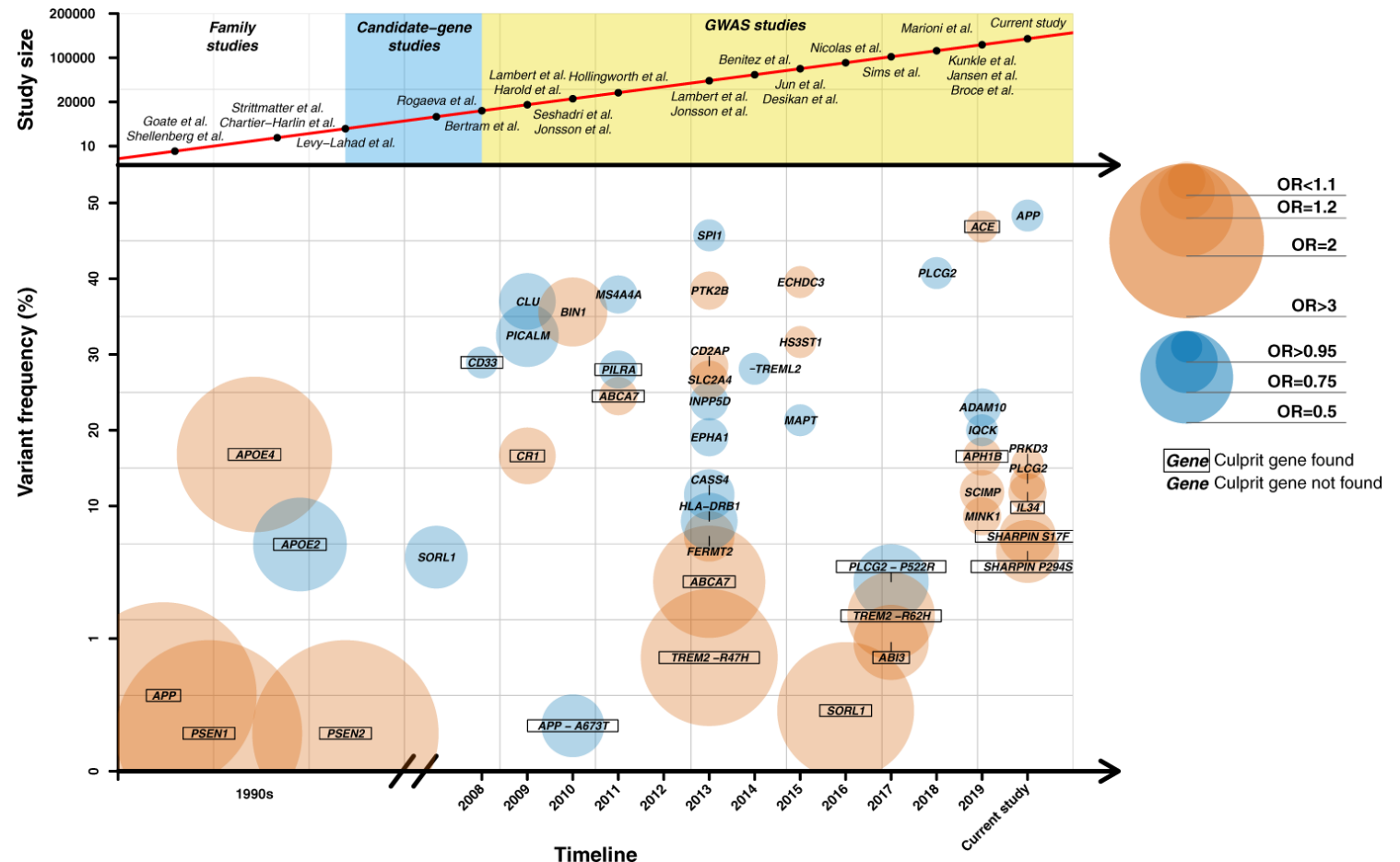
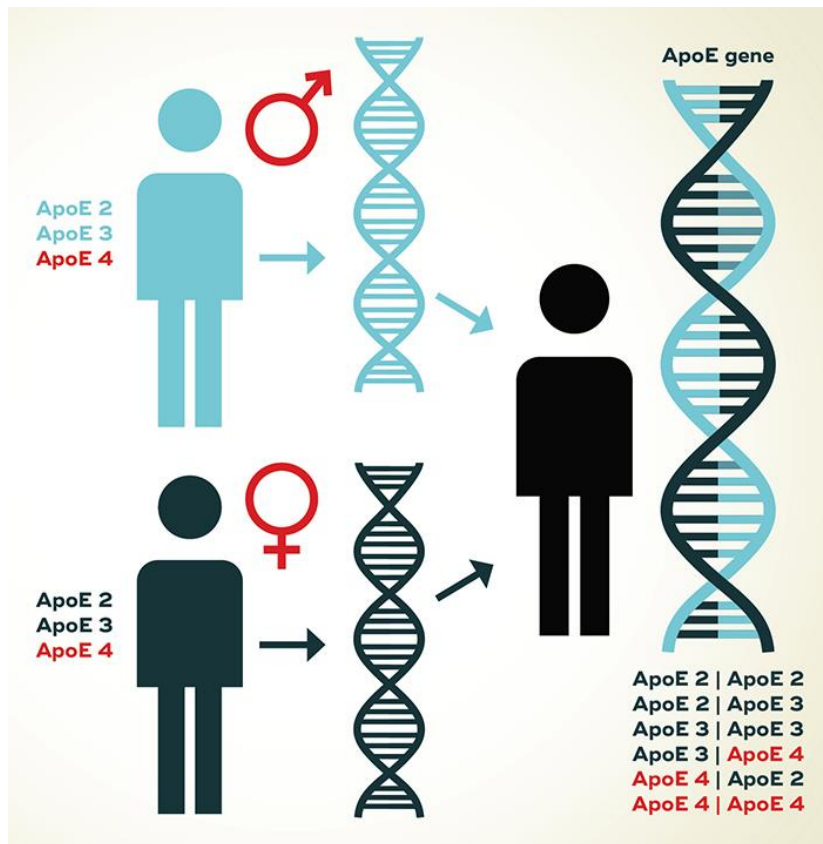
DREAM
Disruptive Researcher Empowering Access for Mentees

Center for Clinical and Translational Science
Accelerating discoveries to improve health™

Motivation of this project

Late-onset Alzheimer's disease (LOAD): people aged 65 years or older

- 60-80% of LOAD risk heritable
- Multiple genes play a role



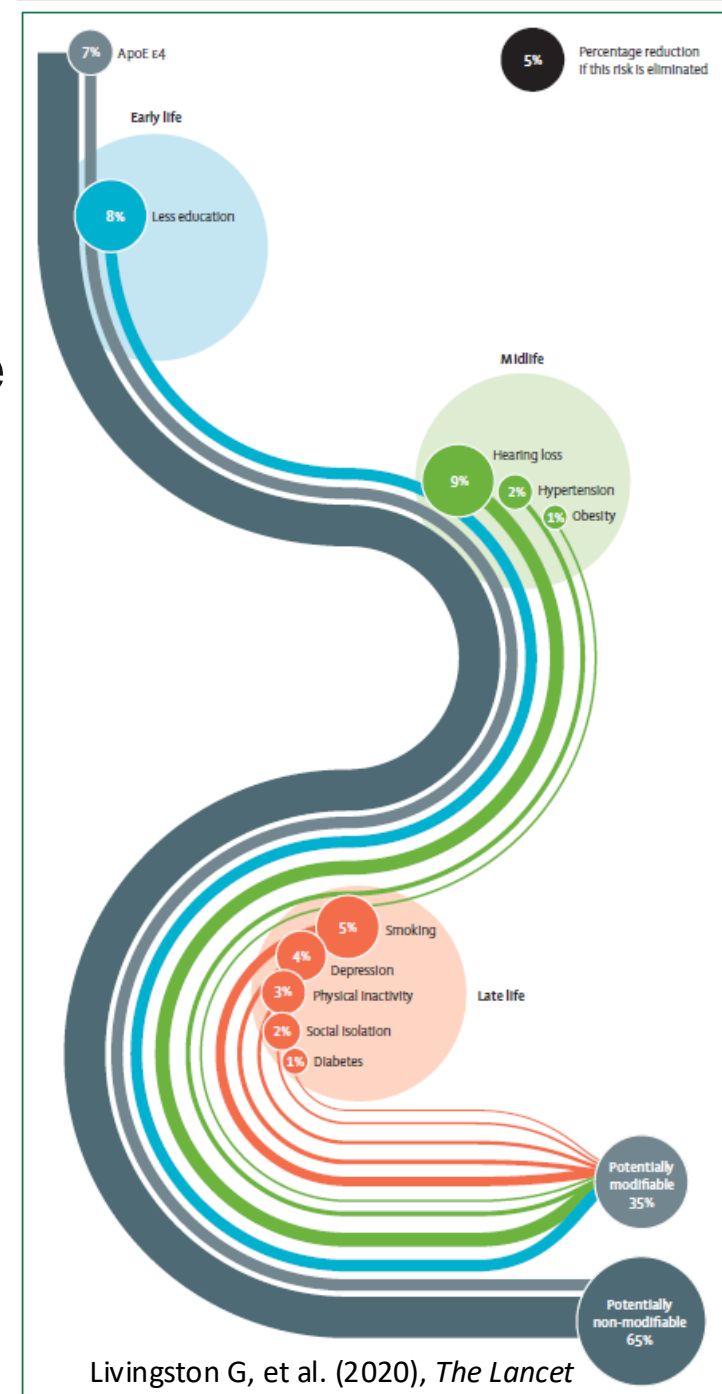
Motivation of this project

- 1/3 LOAD are modifiable by environmental factors
- Many environmental factors potentially affect LOAD
- Environmental effects on LOAD vary in midlife and later-life



1. Air pollution
2. Depression
3. Diabetes
4. Excessive alcohol use
5. Head injury
6. Hearing loss
7. High cholesterol
8. Hypertension
9. Lower education level
10. Obesity
11. Physical inactivity
12. Smoking
13. Social isolation
14. Vision loss

How to comprehensively examine gene-environment interactions in LOAD from a life-course perspective?



Motivation of this project

One possible solution: $G \times E$ interactions in LOAD

- Construct a comprehensive score for genetic risks (G)
- Construct a comprehensive score for environmental risks (E)



- | | |
|--------------------------|--------------------------|
| 1. Air pollution | 8. Hypertension |
| 2. Depression | 9. Lower education level |
| 3. Diabetes | 10. Obesity |
| 4. Excessive alcohol use | 11. Physical inactivity |
| 5. Head injury | 12. Smoking |
| 6. Hearing loss | 13. Social isolation |
| 7. High cholesterol | 14. Vision loss |

**Dimensionality
reduction**



**Item
Response
Theory (IRT)**

❑ Math ability = not directly observed

➔ **Latent variable**

Item Response Theory (IRT)

❑ Test score = directly observed

Difficulty
↓

Problem	Correct = 1 incorrect = 0	
	Student 1	Student 2
Item 1	1	1
Item 2	0	0
Item 3	1	0
Item 4	1	1
Item 5	0	1
Total	3	3

□ Environmental risk = not directly observed

➔ **Latent variable**

Item Response Theory (IRT)

□ Environmental variables = directly observed

Less common



Environmental Variable	Yes = 1	No = 0
	Participant 1	Participant 2
Alcohol Use	1	1
Smoking	0	0
HNT	1	0
Diabetes	1	1
Hearing Loss	0	1
Total	3	3

Model can be extended

Binary 0, 1 → Ordered 0, 1, 2, 3,....

Unidimensional → Multidimensional

One parameter → Two or more parameters

Merits of IRT-based models

Allow different response patterns

Fit environment risk structure

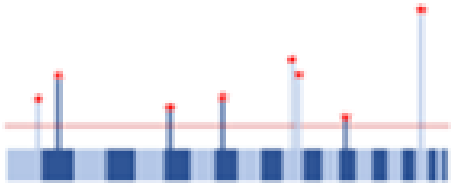
Provide information of variables

Multidimensional Generalized Partial Credit Model (GPCM)
implemented by the “mirt” R package

<https://cran.r-project.org/web/packages/mirt/mirt.pdf>

Aims of this project

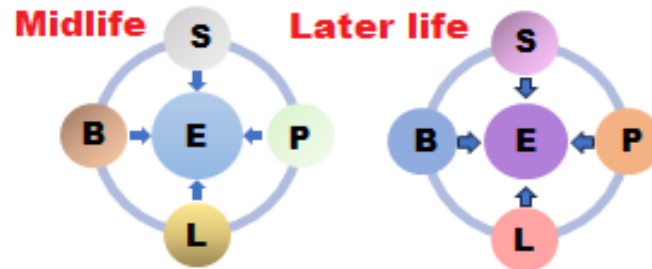
Aim 1. LOAD PRS Construction



Polygenic risk score (PRS)

An estimate of an individual's genetic liability to LOAD by aggregating the genetic effects of single-nucleotide variants (SNVs)

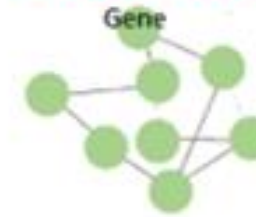
Aim 2. ERS Construction



Environmental risk score (ERS)

Aim 3. Test Interactions

LOAD PRS



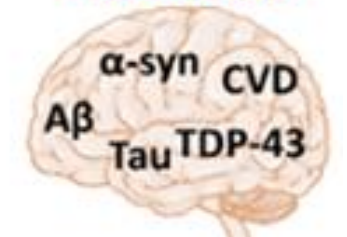
ERS



Cognition



Pathology



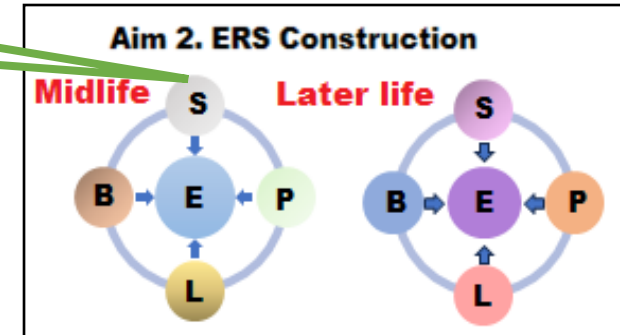
PRS \times ERS interactions

Preliminary analyses: social variables in NACC UDS v3

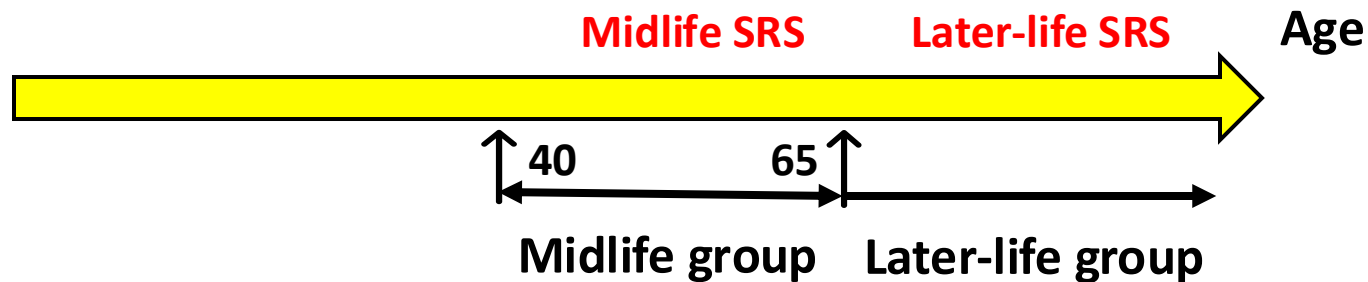
Social risk score (SRS)

- Social variables in NACC UDS
- Primary language
- Education
- Marital status
- Living situation
- Level of independence
- Type of residence

Item Response Theory



Participants' records at the age range



APOE ε4



SRSs



Cognition

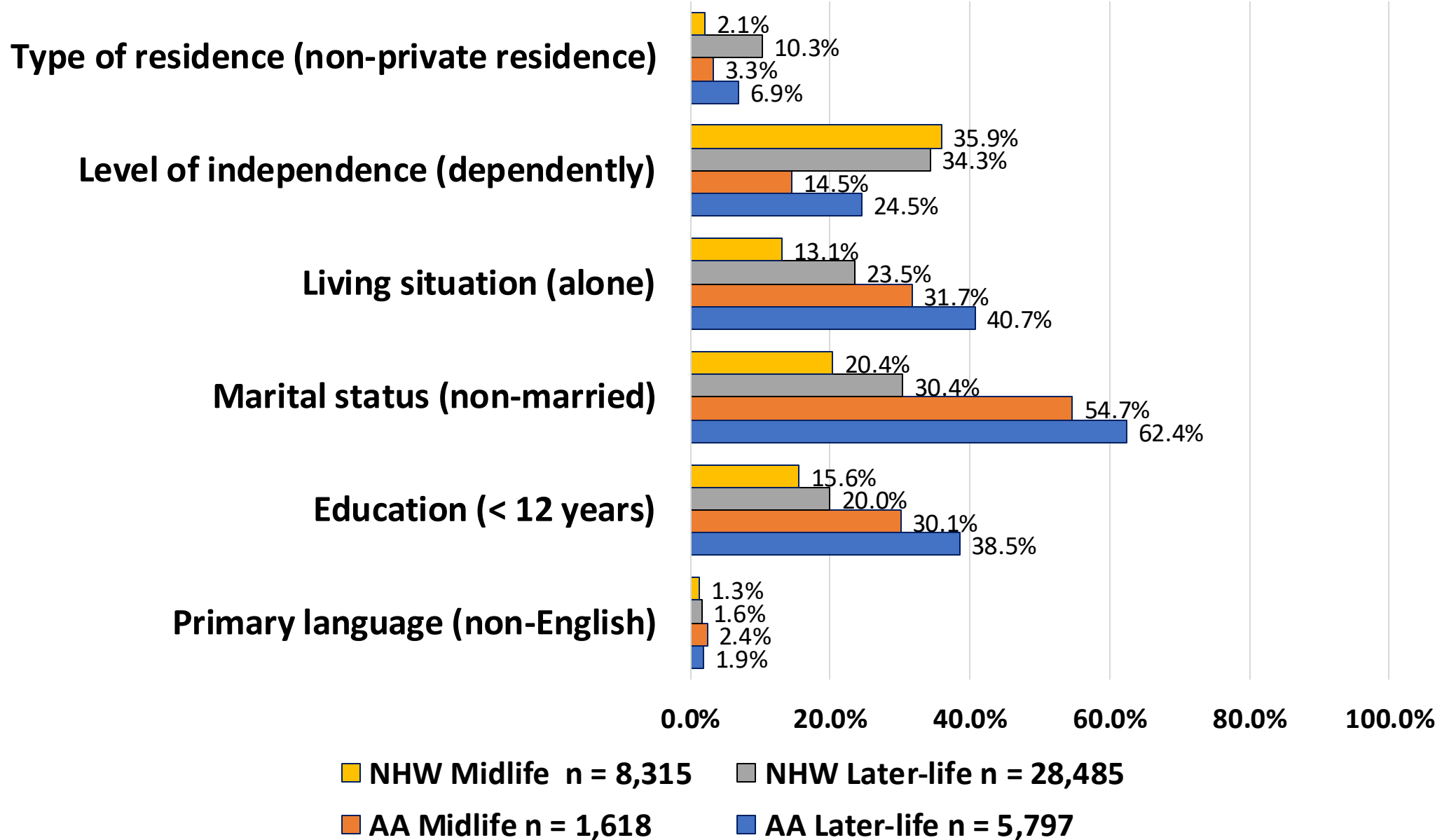


Pathology

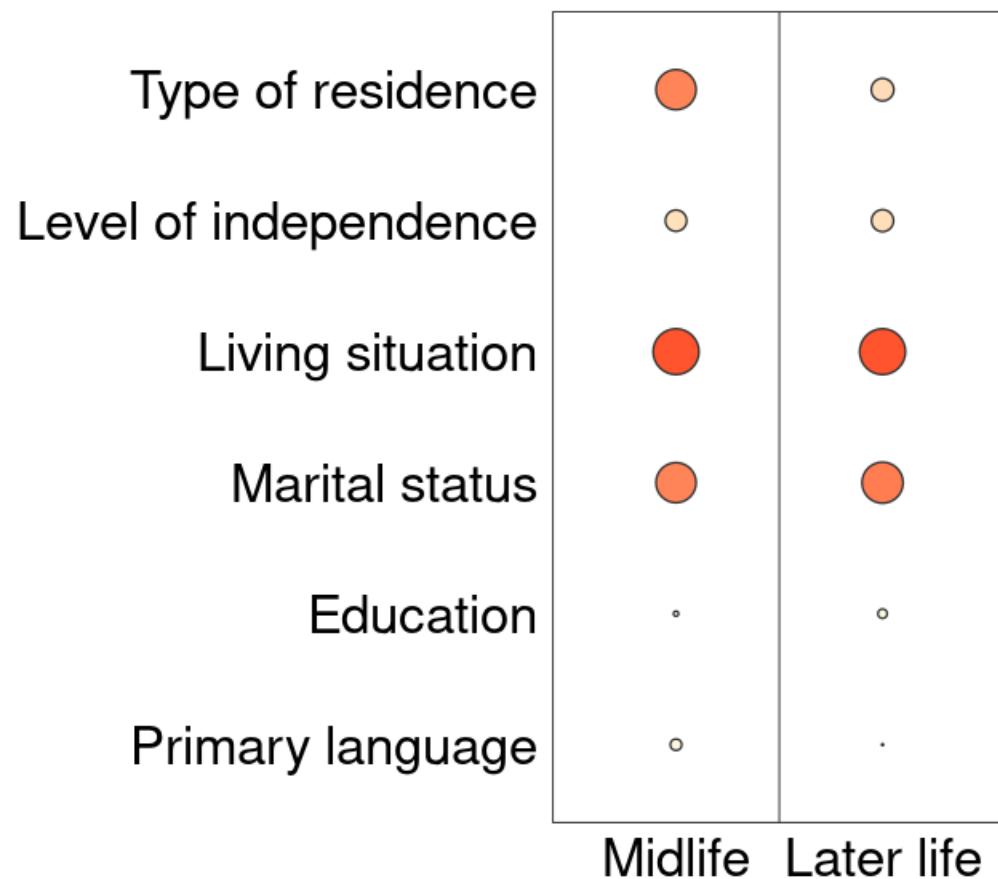
Recoded social variables for GPCM

Social factors	NACC variable	0	1	2	3
Primary language	PRIMLANG	English	Non-English		
Education	EDUC	12 years +	9-12 years	6-8 years	0-5 years
Marital status	MARISTAT	Non-married	Married		
Living situation	NACCLIVS	Alone	With spouse	With group	
Level of independence	INDEPEND	Independent	Some assistance	Dependent	
Type of residence	RESIDENC	Private residence	Assisted living home	Hospital	

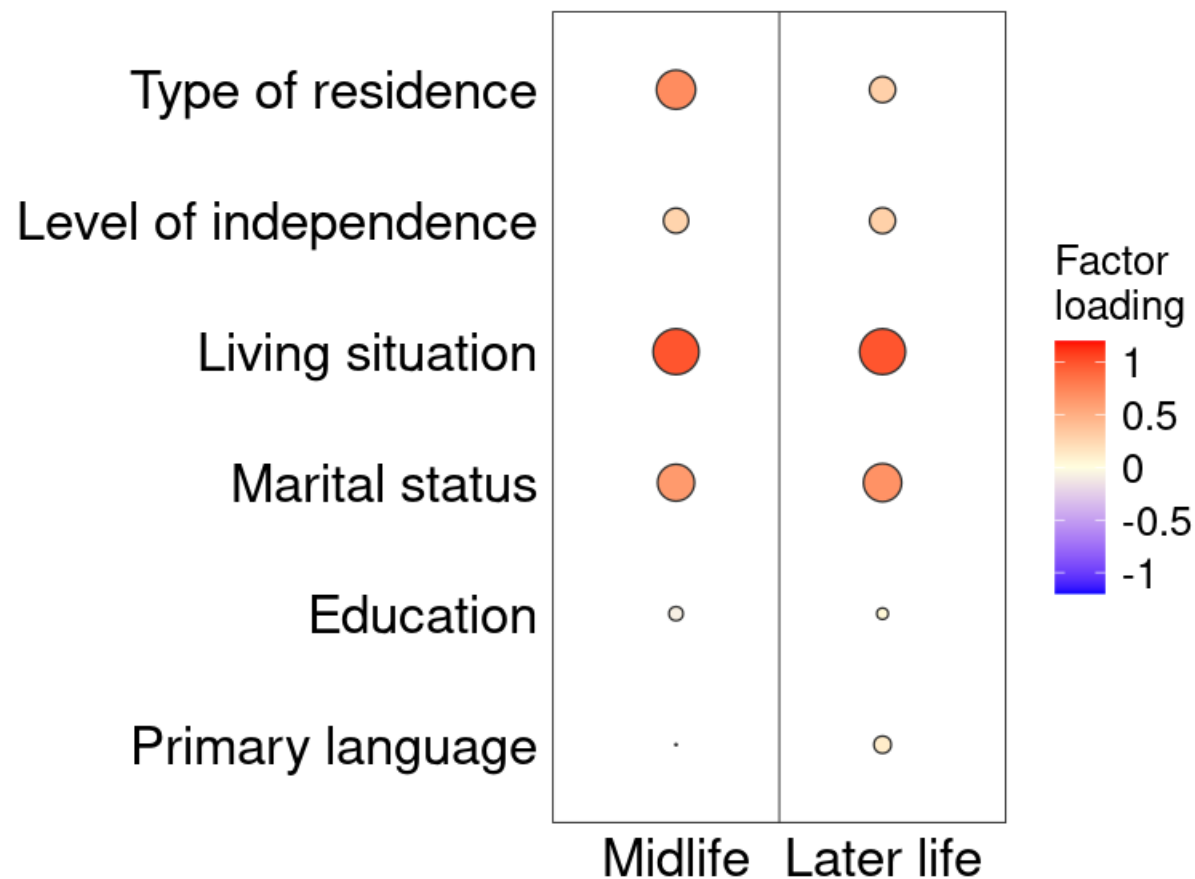
Social Variables by Race and Lifetime



Contributions to SRS for each variable from GPCM

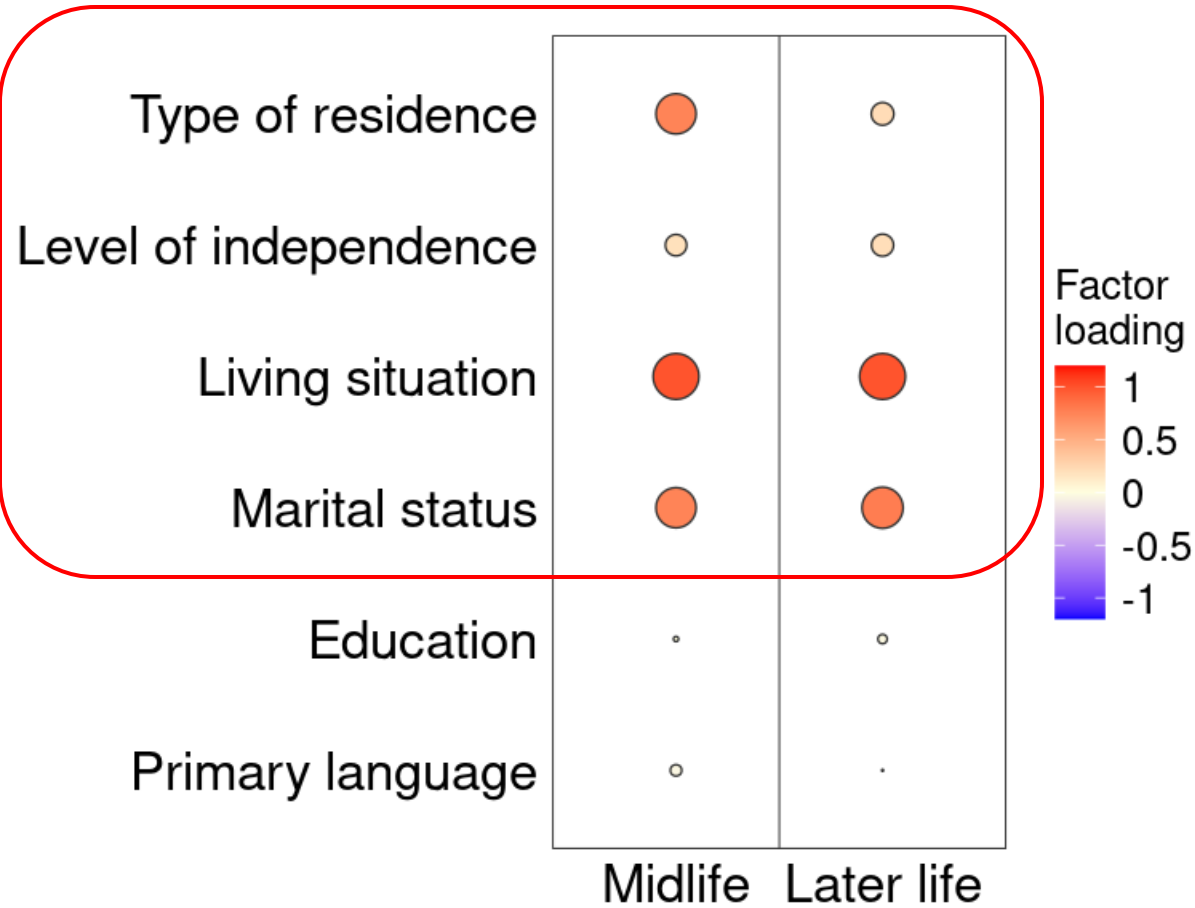


NHW

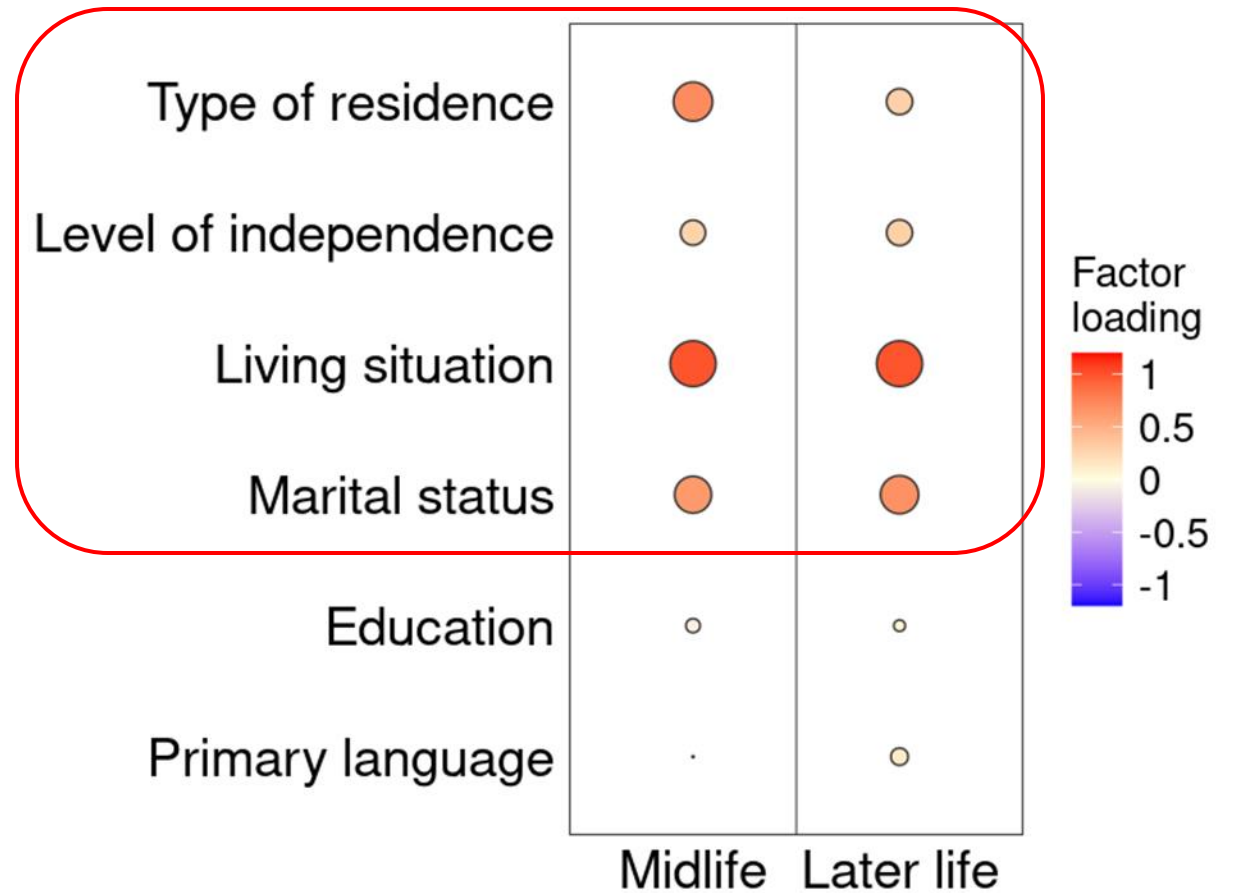


AA

Contributions to SRS for each variable from GPCM

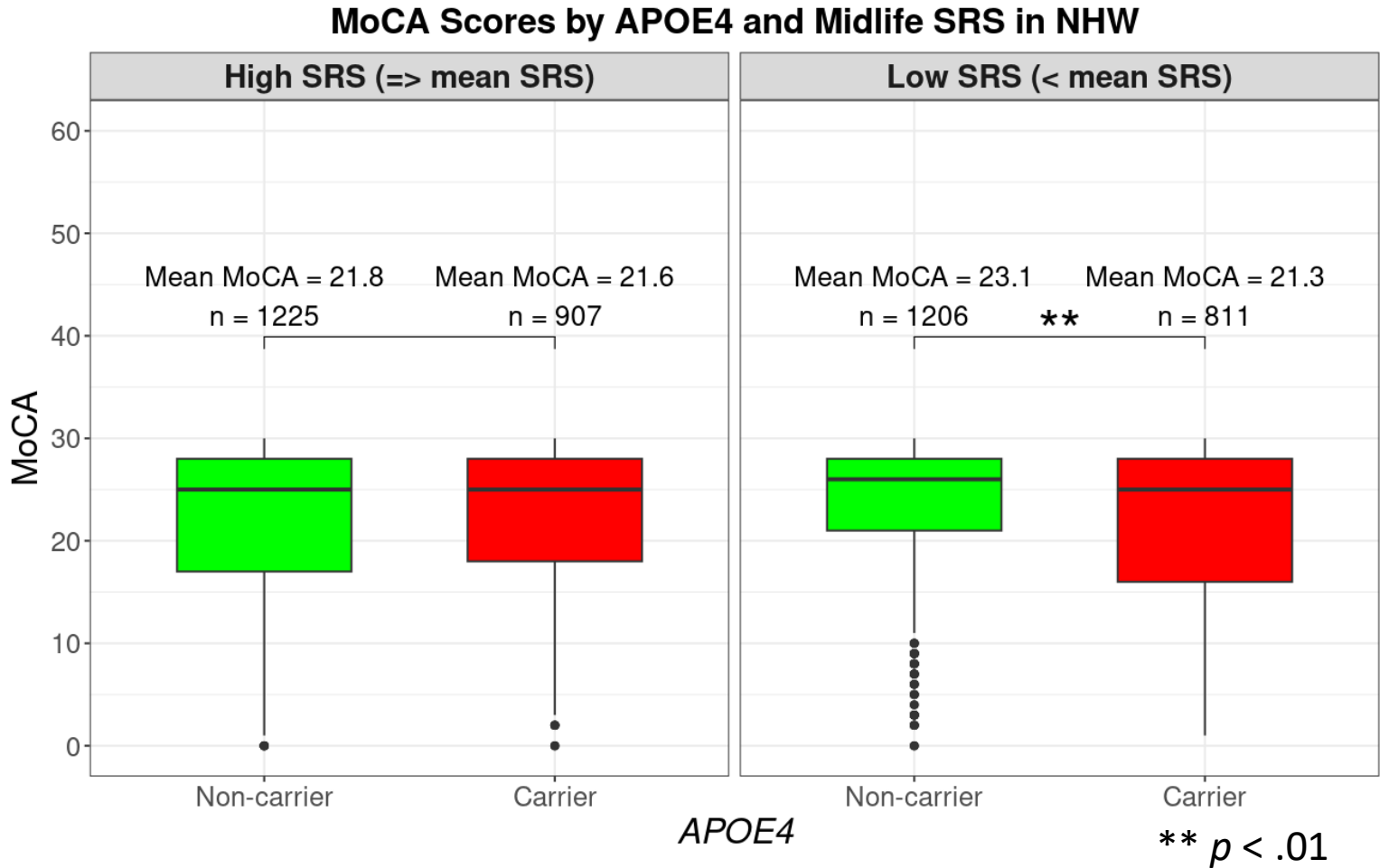
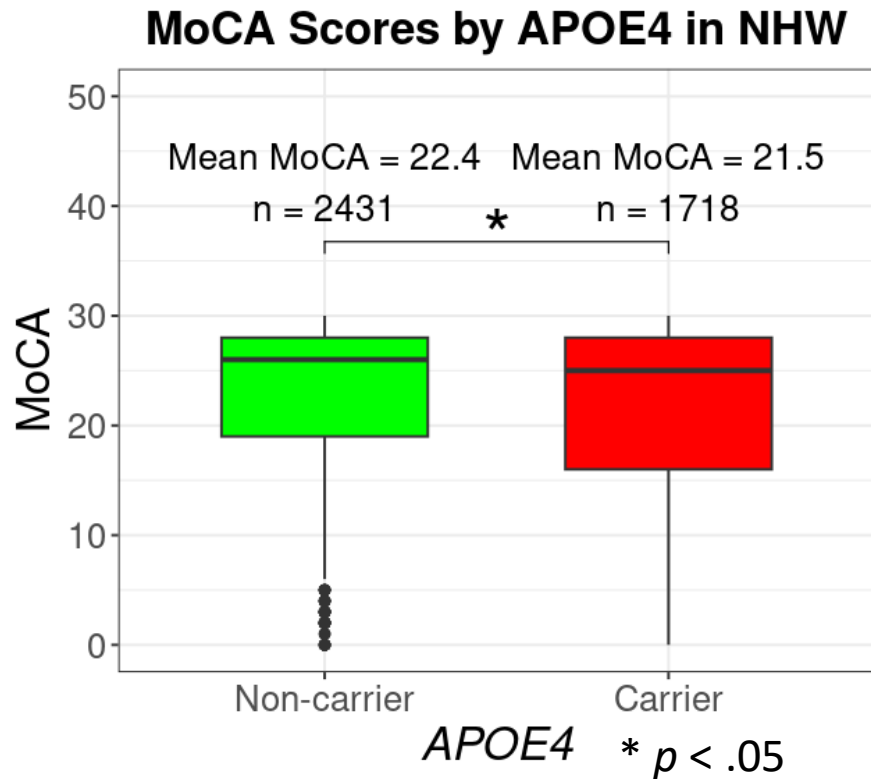


NHW



AA

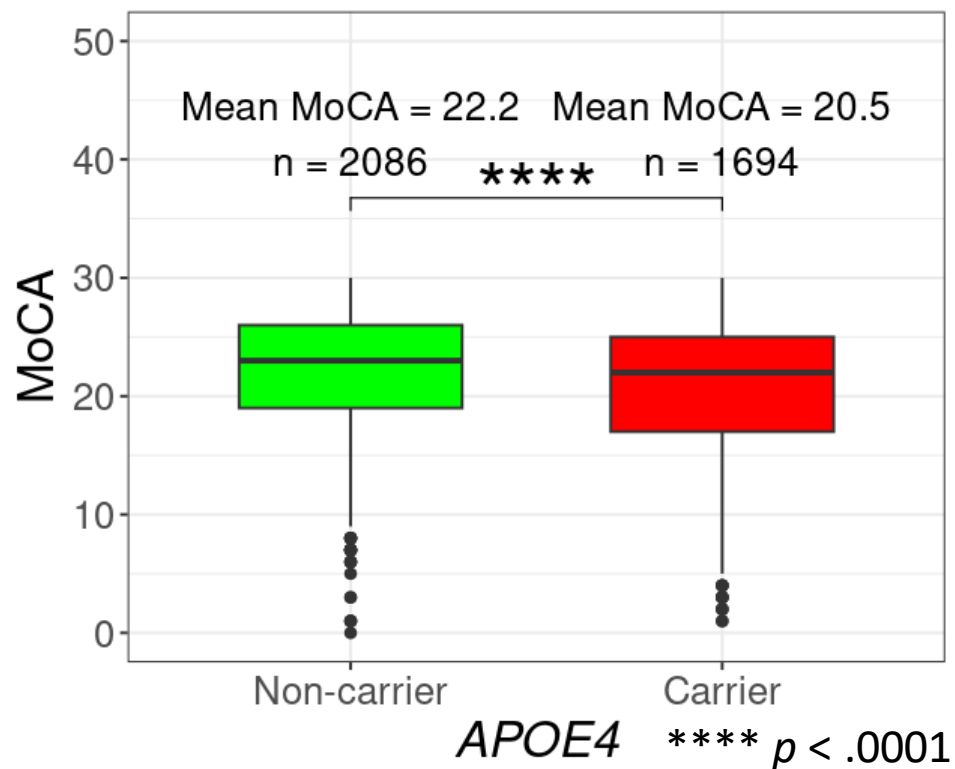
In NHW, *APOE* ϵ_4 carriers had lower MoCA scores on average



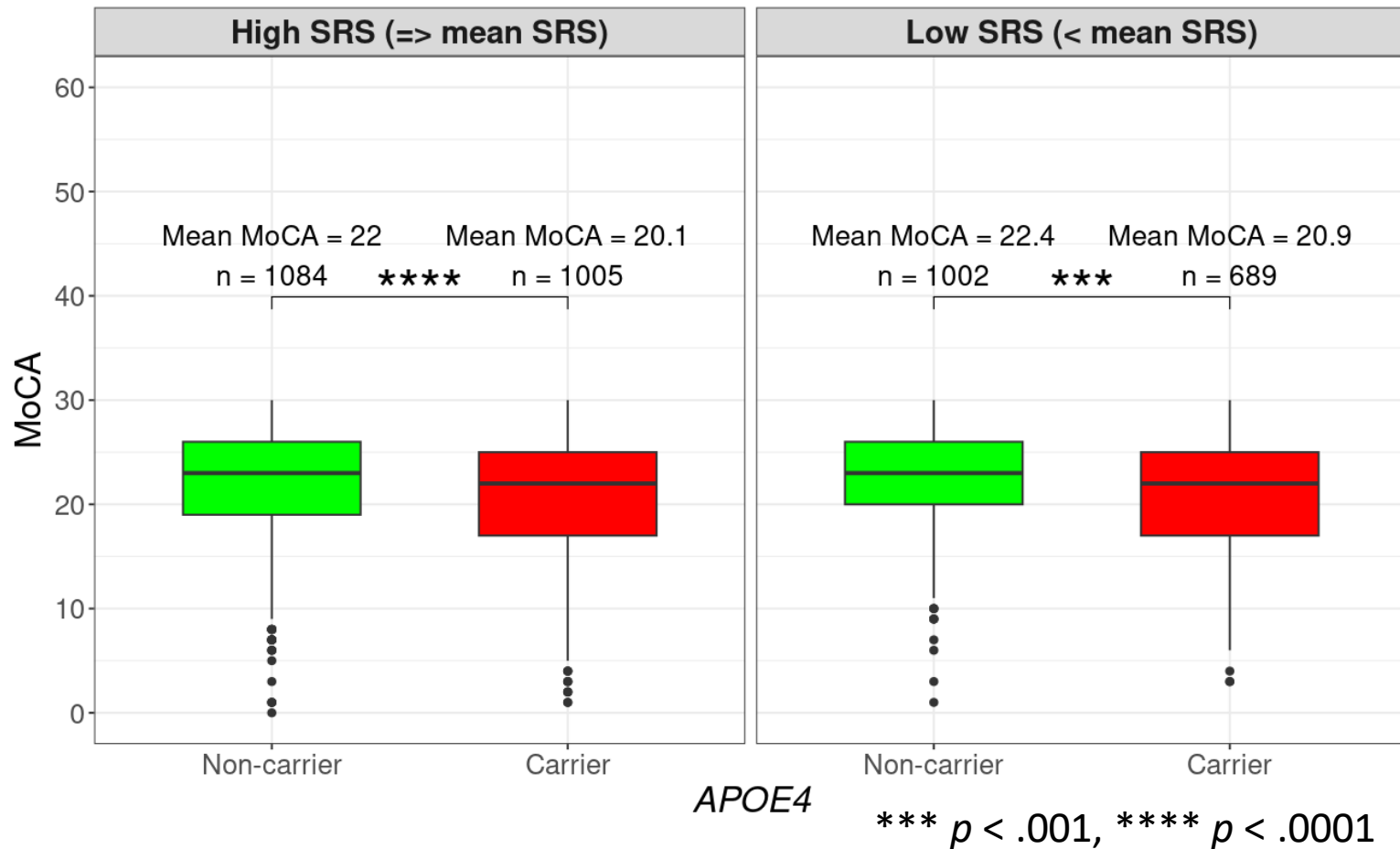
In Low SRS group, *APOE* ϵ_4 carriers had a lower mean MoCA score, compared to *APOE* ϵ_4 non-carriers

In AA, *APOE* ϵ_4 carriers had lower MoCA scores on average

MoCA Scores by *APOE4* in AA



MoCA Scores by *APOE4* and Later-life SRS in AA



APOE ϵ_4 carriers who had a higher later-life SRS had the lowest mean MoCA score

Modeling

- *APOE* $\epsilon 4$ genotype: 0 = -/-, 1 = $\epsilon 4$ /-, 2 = $\epsilon 4/\epsilon 4$.
- Phenotypes: Neurocognition & Neuropathology
 - MMSE (Mini-Mental State Examination)
 - MoCA (Montreal Cognitive Assessment)
 - $A\beta$ (Thal phase ratings for $A\beta$ distribution, A score)
 - Tau (Braak NFT stage categories for tau neurofibrillary degeneration, B score)
- Adjustment: age & sex
- Two racial groups:
 - Non-Hispanic White (NHW)
(sample size $n = 37,142$)
 - African American (AA)
(sample size $n = 7,422$)

The diagram illustrates the data sources for the study. It is divided into two main sections: **NACC UDS data** and **NACC NP data**.

NACC UDS data is represented by a teal box labeled **Harmonized Phenotypic Data (UDS)**. This box contains a table with the following structure:

	Initia Visit (N1)	Follow-up Visit (N2)	Trt. Initia Visit (N3)	Trt. Follow-up (N4)
A1: Subject Demographics				
A2: Co-participant Demographics				
A3: Subject Family History				
A4: Subject Medications				

NACC NP data is represented by a teal box labeled **Neuropathology Reports**. This box contains two side-by-side images of brain tissue sections, labeled **a** and **b**, showing brown staining (likely representing amyloid plaques or neurofibrillary tangles).

Regression Results

Midlife (aged 40 and 65 years)				
	MMSE	MoCA	A β	Tau
NHW	$\hat{\beta}$ (SE)	$\hat{\beta}$ (SE)	$\hat{\beta}$ (SE)	$\hat{\beta}$ (SE)
<i>APOE</i>	-0.5 (0.2)	-1.0 (0.3)	1.0 (0.1)	0.8 (0.1)
<i>SRS</i>	-0.7 (0.1)	-0.8 (0.2)	0.2 (0.1)	0.2 (0.1)
<i>APOE</i> \times <i>SRS</i>	0.1 (0.2)	0.2 (0.3)	-0.2 (0.1)	-0.1 (0.1)
AA				
<i>APOE</i>	-1.9 (0.3)	-0.7 (0.4)	2.0 (1.4)	1.3 (0.6)
<i>SRS</i>	0.0 (0.3)	-0.1 (0.4)	-0.0 (0.6)	0.8 (0.6)
<i>APOE</i> \times <i>SRS</i>	0.6 (0.3)	-0.1 (0.5)	1.7 (1.5)	-0.3 (0.8)

Significant results in bold ($p < .05$). NHW = non-Hispanic White, AA = African American, MMSE = Mini-mental state examination, MoCA = Montreal cognitive assessment, SRS = social risk score, SE = standard error

Later life (aged 65 years or older)

	MMSE	MoCA	Aβ	Tau
NHW	$\hat{\beta}$ (SE)	$\hat{\beta}$ (SE)	$\hat{\beta}$ (SE)	$\hat{\beta}$ (SE)
APOE	-1.9 (0.1)	-2.1 (0.1)	1.4 (0.1)	1.1 (0.1)
SRS	-0.6 (0.1)	-0.5 (0.1)	0.1 (0.1)	0.1 (0.0)
APOE\timesSRS	0.0 (0.1)	-0.1 (0.1)	0.0 (0.1)	-0.0 (0.0)
AA				
APOE	-2.0 (0.2)	-1.8 (0.3)	1.6 (0.3)	1.1 (0.2)
SRS	-0.6 (0.1)	-0.1 (0.2)	0.1 (0.3)	0.2 (0.2)
APOE\timesSRS	-0.4 (0.2)	-0.7 (0.3)	0.0 (0.3)	0.2 (0.2)

Significant results in bold ($p < .05$). NHW = non-Hispanic White, AA = African American, MMSE = Mini-mental state examination, MoCA = Montreal cognitive assessment, SRS = social risk score, SE = standard error

Summary

- We constructed midlife and later-life SRSs based on six variables primary language, education, marital status, living situation, level of independence, type of residence
- Marital status, living situation, type of residence mainly contributed to midlife and later-life SRSs across NHW and AA, which might indicate “living conditions”
- Midlife and later-life SRSs modified the effects of *APOE* ϵ 4 on neurocognition scores (MMSE and MoCA) in AA

Next steps: we will include more environment variables

<u>Physical variables</u>	<u>Lifestyle variables</u>	<u>Behavioral variables</u>
Body mass index (BMI)	Average number of packs smoked per day	Writing checks
Hypertension	At least one drink of any alcoholic beverage	Assembling tax records
Diabetes	Vitamin B12 deficiency	Shopping alone
Hypercholesterolemia		Playing a game of skill
Arthritis		Heating water
Traumatic brain injury (TBI)		Preparing a balanced meal
Hyposomnia/insomnia		Keeping track of current events
Bowel Incontinence		Paying attention to a TV program
Urinary Incontinence		Remembering appointments
Sleep apnea		Traveling
Wear corrective lenses		
Wear a hearing aid(s)		
Depression		

Next steps: Look forward to UDS v4 data available We could include more variables, SDoH

Topic	Project - Activity or deliverable	✓	2024												2025				2026				Future					
			Q2			Q3			Q4			Q1		Q2		Q3		Q4		Q1		Q2						
			A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N		D	J	F	M	A
UDSv4 Implementation	Content complete and PDFs shared (initial packet)	✓																										
	REDCap forms complete and shared (initial packet)																											
	Follow-up packets complete (PDF and REDCap)																											
	UDSv4 START – used for all ADRC data collection																											
	UDSv3 STOP – backlog submission stop for ADRCs																											
	UDSv3 STOP – Spanish and Chinese /Affiliated studies																											
Pilots	NACCID Pilot																											
	UDSv4 Pilot																											
UDSv4 Resource Development	UDSv3 to UDSv4 crosswalk																											
	UDSv4 onboarding checklists																											
	SOPs on how to leverage REDCap for UDSv4																											
	Data element dictionary																											
	Coding guidebooks																											
	QC rules and codes published																											
ADRC Training and Support	Launch Community Forum																											
	Clinical Staff Training Session																											
	UDSv4 and NACCID Pilot training																											
	Bi-Weekly Office Hours																											
	UDSv4 Digital Voice Guidelines																											
UDSv4 Translations	Chinese Translations (funded by separate R01)																											
	Spanish Translations																											

Social Determinants of Health



Acknowledgment



THE NIA ALZHEIMER'S DISEASE RESEARCH CENTERS PROGRAM

National Alzheimer's Coordinating Center

2024-2026 New Investigator Awards

Comprehensive Gene-Environment Interactions in Alzheimer's Disease

Mentors



UK-ADRC Director

Dr. Yuriko Katsumata

Dr. Dave Fardo

Dr. Pete Nelson

Dr. Erin Abner

Dr. Bryan James

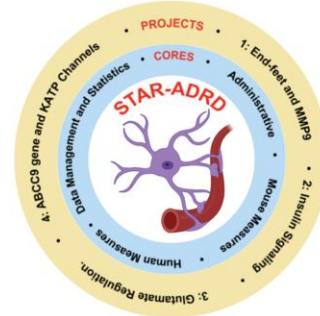
Dr. Linda Van Eldik

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Funding sources for my research



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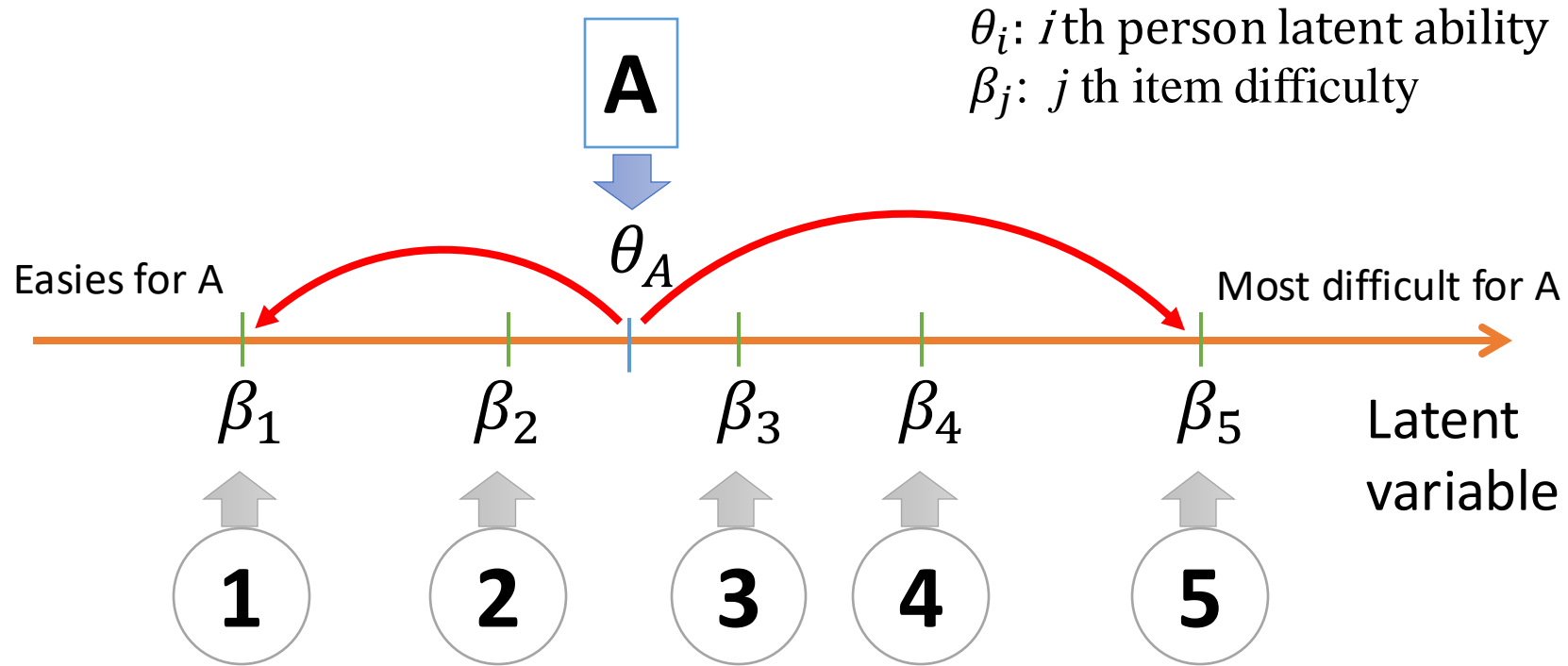
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Both items and persons are located on the same latent variable continuum.



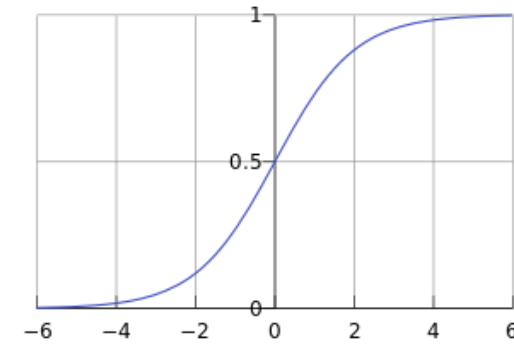
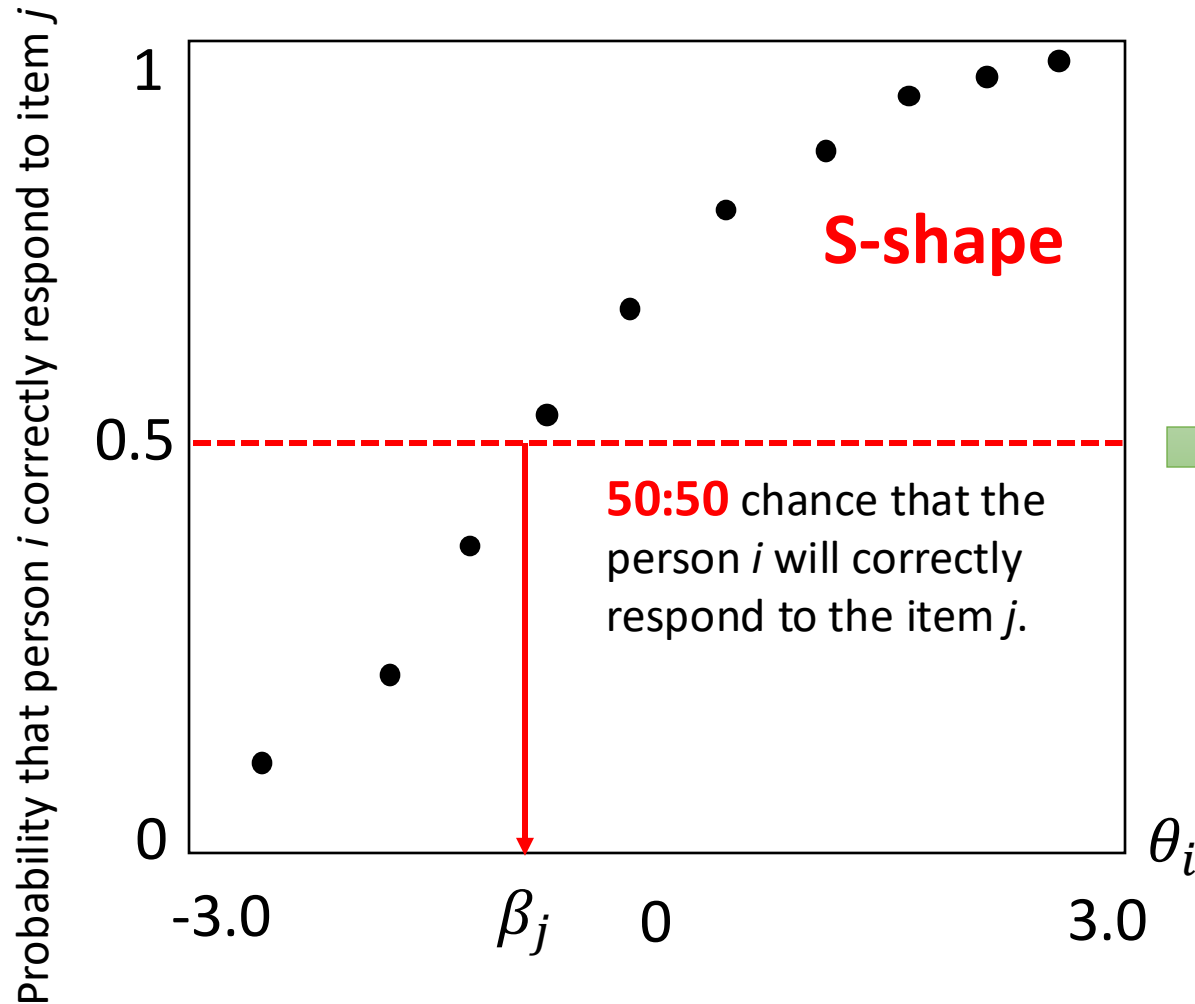
The **distance** between the person and item locations

➔ $\theta_i - \beta_j$

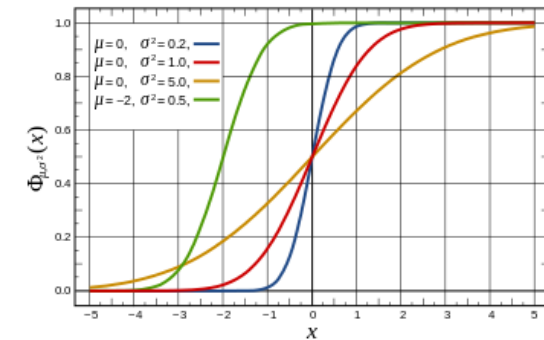
The probability that the person i will correctly respond to the item j

➔ $f(\theta_i - \beta_j)$

What does $f(\theta_i - \beta_j)$ look like?



Logistic function



CDF of normal distribution

Logistic function


$$P(y_{ij} = 1 | \theta_i, \beta_j) = \frac{1}{1 + e^{-(\theta_i - \beta_j)}}$$

Rasch model


In general, the Rasch model can be written as

$$L(\boldsymbol{\theta}, \boldsymbol{\beta} | \mathbf{y}) = \prod_{i=1}^N \prod_{j=1}^J \frac{e^{y_{ij}(\theta_i - \beta_j)}}{1 + e^{(\theta_i - \beta_j)}} = \frac{\exp\left\{\sum_{i=1}^N \sum_{j=1}^J y_{ij}(\theta_i - \beta_j)\right\}}{\prod_{i=1}^N \prod_{j=1}^J \left\{1 + e^{(\theta_i - \beta_j)}\right\}}$$

Model can be extended

Binary 0, 1  Ordered 0, 1, 2, 3, ... $y_{ijk} = \begin{cases} 1 & \text{if } u_{ij} = k \\ 0 & \text{otherwise} \end{cases}$

Unidimensional  Multidimensional

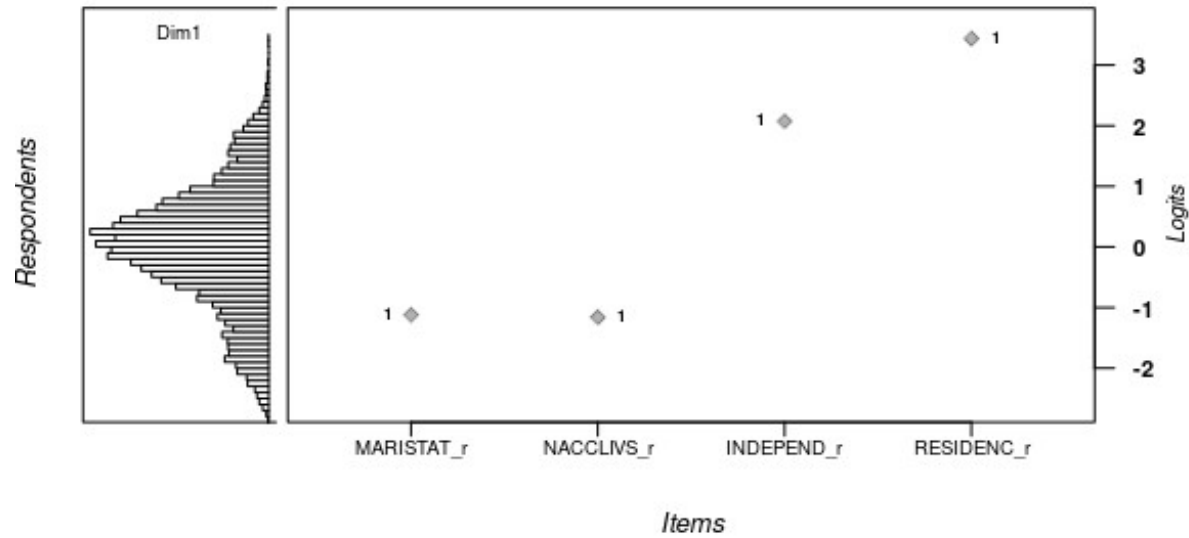
One parameter  Two or more parameters $\frac{\gamma_j}{1 + e^{-\alpha_j(\theta_i - \beta_j)}}$

Multidimensional Partial Credit Model

implemented by the “mirt” R package

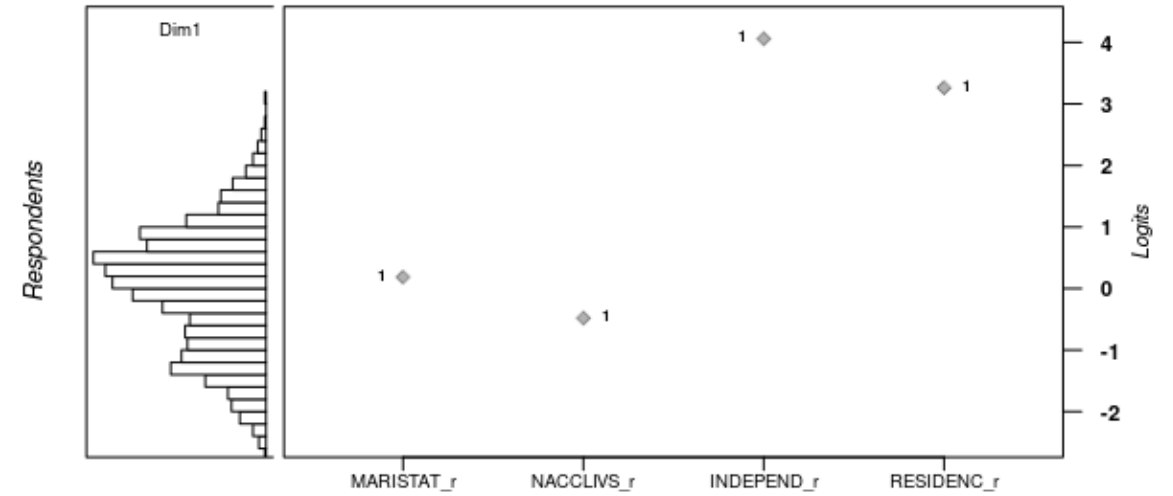
NHW-Midlife

Wright Map



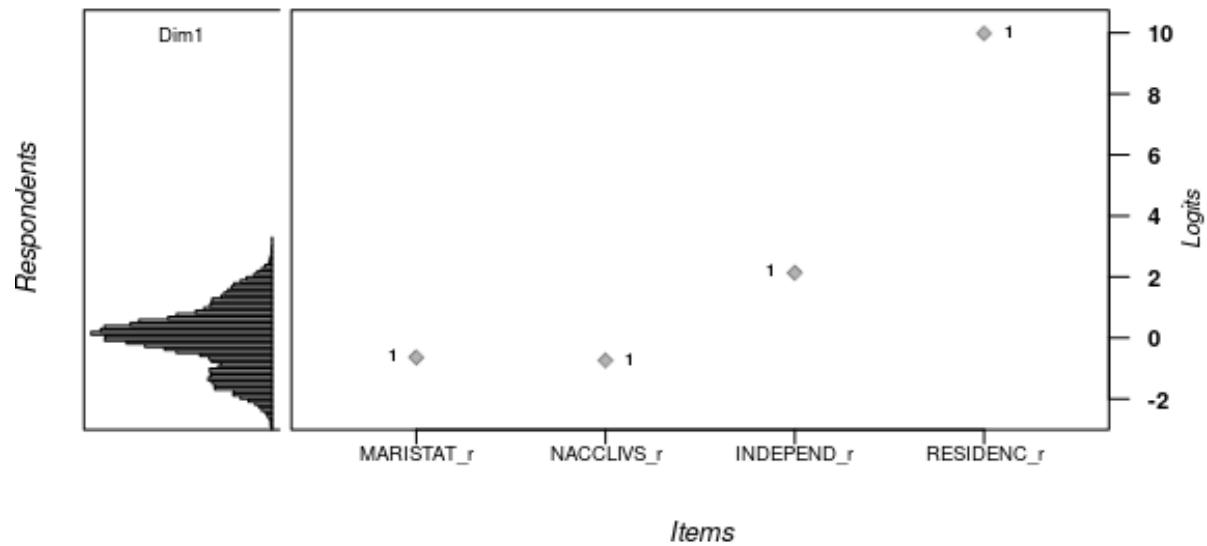
AA-Midlife

Wright Map



NHW-Later life

Wright Map



AA-Later life

Wright Map

